# The AI Universe of "Actions": Agency, Causality, Commonsense and Deception

## Chitta Baral and Tran Cao Son

Arizona State University and New Mexico State University

IJCAI 2019

C. Baral and T. C. Son (ASU & NMSU)

The AI Universe of "Actions"

IJCAI 2019 1 / 198

#### Outline

D Overview: a brief history of action languages (20 mnt - Chitta)

- 2 Action languages in single agent environments (70 mnt Son)
  - ullet The action language  $\mathcal{A}$ , state, and transition function
  - $\mathcal{AL}$ :  $\mathcal{A}+$  static causal laws, non-deterministic and sensing actions
  - GOLOG
  - Action languages: related approaches and planning
- 3 Action languages and causality (30 mnt Chitta)
  - Pearl's do-calculus

4 Action languages in multi-agent environments (60 mnt – Son)

- mA\*, Kripke structure, update models, and transition function
- mA\* in epistemic planning

5 Action languages in commonsense reasoning (18 mnt - Chitta)

- Commonsense reasoning and actions
- Acquiring knowledge about actions

Open challenges, conclusion, and discussion (12 mnt - Chitta)

イロト 不得下 イヨト イヨト 二日

## D Overview: a brief history of action languages (20 mnt - Chitta)

- 2 Action languages in single agent environments (70 mnt Son)
  - The action language  $\mathcal{A}$ , state, and transition function
  - AL: A+ static causal laws, non-deterministic and sensing actions
     GOLOG
  - Action languages: related approaches and planning
- Action languages and causality (30 mnt Chitta)
  Poorl's de calculus
  - Pearl's do-calculus
- Action languages in multi-agent environments (60 mnt Son)
  - mA\*, Kripke structure, update models, and transition function
    mA\* in epistemic planning

5 Action languages in commonsense reasoning (18 mnt - Chitta)

- Commonsense reasoning and actions
- Acquiring knowledge about actions

Open challenges, conclusion, and discussion (12 mnt - Chitta)

イロト 不得 トイヨト イヨト



#### VERB PHYSICS: Relative Physical Knowledge of Actions and Objects

Maxwell Forbes Yejin Choi Paul G. Allen School of Computer Science & Engineering University of Washington {mbforbes, yejin}@cs.washington.edu

#### Abstract

Learning commonsense knowledge from natural language text is nontrivial due to reporting bias: people rarely state the obvious. e.g., "My house is *bigger* than me." However, while rarely stated explicitly, this trivial everyday knowledge does influence the way people talk about the world, which provides indirect clues to reason about the world. For example, a statement like, "Tyler entered his house" implies that his house is *bigger* than Tyler.

In this paper, we present an approach to infer relative physical knowledge of actions and objects along five dimensions (e.g. size, weight, and strength) from unstructured natural language text. We frame knowledge acquisition as joint inference over two closely related problems: learning (1) relative physical knowledge of object pairs and (2) physical implications of actions when applied to those object pairs. Empirical results demonstrate that it is possible to extract knowledge of actions and objects from Jamuage and that joint

#### Natural language clues

"She barged into the stable."



Figure 1: An overview of our approach. A verb's usage in language (top) implies physical relations between objects it takes as arguments. This allows us to reason about properties of specific objects (middle), as well as the knowledge implied by the

 $\Rightarrow x$  is less rigid than y

C. Baral and T. C. Son (ASU & NMSU)

The AI Universe of "Actions"

IJCAI 2019 4 / 198



IJCAI 2019 4 / 198

(日) (同) (日) (日)

### book of why 1.png

Judea Pearl, Dana Mackenzie - The book of why\_ the new science of cause and effect-Basic Books (2018).pdf (page 15 of 402)

Side by side with this diagrammatic "language of knowledge," we also have a symbolic "language of queries" to express the questions we want answers to. For example, if we are interested in the effect of a drug (*D*) on lifespan (*L*), then our query might be written symbolically as:  $P(L \mid do(D))$ . In

other words, what is the probability (*P*) that a typical patient would survive *L* years if made to take the drug? This question describes what epidemiologists would call an *intervention* or a *treatment* and corresponds to what we measure in a clinical trial. In many cases we may also wish to compare  $P(L \mid do(D))$  with  $P(L \mid do(not-D))$ ; the latter describes patients denied treatment, also called the "control" patients. The *do*-operator signifies that we are dealing with an intervention rather than a passive observation; classical statistics has nothing remotely similar to this operator.

### book of why 2.png

Judea Pearl, Dana Mackenzie - The book of why\_ the new science of cause and effect-Basic Books (2018).pdf (page 15 of 402)

Mathematically, we write the observed frequency of Lifespan *L* among patients who voluntarily take the drug as  $P(L \mid D)$ , which is the standard conditional probability used in statistical textbooks. This expression stands for the probability (*P*) of Lifespan *L* conditional on seeing the patient take Drug *D*. Note that  $P(L \mid D)$  may be totally different from  $P(L \mid do(D))$ . This difference between seeing and doing is fundamental and explains why we do not regard the falling barometer to be a cause of the coming storm. Seeing the barometer fall increases the probability of the storm, while forcing it to fall does not affect this probability.

This confusion between seeing and doing has resulted in a fountain of paradoxes, some of which we will entertain in this book. A world devoid of  $P(L \mid do(D))$  and governed solely by  $P(L \mid D)$  would be a strange one indeed. For example, patients would avoid going to the doctor to reduce the probability of being seriously ill; cities would dismiss their firefighters to reduce the incidence of fires; doctors would recommend a drug to male and female patients but not to patients with undisclosed gender; and so on. It is hard to believe that less than three decades ago science did operate in such a world: the *do*-operator did not exist.

(日) (同) (三) (三)

🔒 http	s://arxiv.org/pdf/1502.05698.pdf	् 🛧 🚺 🕒 🔸	
	Table 1: Sample statements and questions from tasks 1 to 10.		
	Task 1: Single Supporting Fact	Task 2: Two Supporting Facts	
	John moved to the hallway. Mary travelled to the office.	John picked up the football. Bob went to the kitchen.	
	Where is Mary? A:office	Where is the football? A:playground	
	Task 3: Three Supporting Facts John picked up the apple. John went to the office. John went to the kitchen. John dropped the apple. Where was the apple before the kitchen? A:office	Task 4: Two Argument Relations         The office is north of the bedroom.         The bedroom is north of the beharroom.         The kitchen is west of the garden.         What is north of the bedroom? A: office         What is the bedroom north of? A: buthroom	
	Task 5: Three Argument Relations Mary gave the cake to Fred. Fred gave the cake to Bill. Jeff was given the milk by Bill. Who gave the cake to Fred? A: Mary Who did Fred give the cake to? A: Bill	Task 6: Yes/No Questions John moved to the playground. Daniel went to the bathroom. John went back to the ballway. Is John in the playground? Anno Is Daniel in the bathroom? A:yes	
	Task 7: Counting Daniel picked up the football. Daniel dropped the football. Daniel got the milk. Daniel took the apple. How many objects is Daniel holding? A: two	Task 8: Lists/Sets Daniel picks up the football. Daniel drops the newspaper. Daniel picks up the milk. John took the apple. What is Daniel holding? milk, football	
	Task 9: Simple Negation Sandra travelled to the office. Fred is no longer in the office. Is Fred in the office? Amo	Task 10: Indefinite Knowledge John is either in the classroom or the playground. Sandra is in the garden. Is John in the classroom? A:maybe	
BL 1.png	Is Sandra in the office? A:yes	Is John in the office? A:no	

3

イロト イヨト イヨト イヨト

Table 2: Sample statements and ques	tions from tasks 11 to 20.
Task 11: Basic Coreference	Task 12: Conjunction
Daniel was in the kitchen.	Mary and Jeff went to the kitchen.
Then he went to the studio.	Then Jeff went to the park.
Sandra was in the office.	Where is Mary? A: kitchen
Where is Daniel? A:studio	Where is Jeff? A: park
Task 13: Compound Coreference	Task 14: Time Reasoning
Daniel and Sandra journeyed to the office.	In the afternoon Julie went to the park.
Then they went to the garden.	Yesterday Julie was at school.
Sandra and John travelled to the kitchen.	Julie went to the cinema this evening.
After that they moved to the hallway.	Where did Julie go after the park? A:cinema
Where is Daniel? A: garden	Where was Julie before the park? A:school
Task 15: Basic Deduction	Task 16: Basic Induction
Sheep are afraid of wolves.	Lilv is a swan.
Cats are afraid of dogs.	Lilv is white.
Mice are afraid of cats.	Bernhard is green.
Gertrude is a sheep.	Greg is a swan.
What is Gertrude afraid of? A:wolves	What color is Greg? A:white
Task 17: Positional Reasoning	Task 18: Size Reasoning
The triangle is to the right of the blue square.	The football fits in the suitcase.
The red square is on top of the blue square.	The suitcase fits in the cupboard.
The red sphere is to the right of the blue square.	The box is smaller than the football.
Is the red sphere to the right of the blue square? A:yes	Will the box fit in the suitcase? A:yes
Is the red square to the left of the triangle? A:yes	Will the cupboard fit in the box? A:no
Task 19: Path Finding	Task 20: Agent's Motivations
The kitchen is north of the hallway.	John is hungry.
The bathroom is west of the bedroom.	John goes to the kitchen.
The den is east of the hallway.	John grabbed the apple there.
The office is south of the bedroom.	Daniel is hungry.
How do you go from den to kitchen? A: west, north	Where does Daniel go? A:kitchen
 How do you go from office to bathroom? A: north, west	Why did John go to the kitchen? A:hungry

# bABI 2.png

C. Baral and T. C. Son (ASU & NMSU)

The AI Universe of "Actions'

IJCAI 2019

4 / 198

< • • • • • •

## and in the Winograd challenge

The town councillors refused to give the angry demon- strators a permit because they feared violence. Who feared violence? Answer 0: the town councillors Answer 1: the angry demonstrators Here the special word is "feared" and its alternate is "advo	The need for thinking is perhaps even more evident in a much more difficult example, a variant of which was first presented by Terry Winograd (Winograd 1972), for whom we have named the schema: <sup>2</sup>	
Answer 0: the town councillors Answer 1: the angry demonstrators Here the special word is "feared" and its alternate is "advo		
Here the special word is "feared" and its alternate is "advo		
cated as in the following:	Here the special word is "feared" and its alternate is "advo- cated" as in the following:	
KR Winograd.png	The town councillors refused to give the angry demon- strators a permit because they advocated violence. Who advocated violence?	

C. Baral and T. C. Son (ASU & NMSU)

▶ ▲ 王 ▶ 王 少 ९ ペ IJCAI 2019 4 / 198

#### WINOGRANDE: An Adversarial Winograd Schema Challenge at Scale

Keisuke Sakaguchi\*, Ronan Le Bras\*, Chandra Bhagavatula\*, Yejin Choi\*†

\*Allen Institute for Artificial Intelligence †University of Washington

#### Abstract

The Winograd Schema Challenge (WSC), proposed by Levesque et al. (2011) as an alternative to the Turing Test, was originally designed as a pronoun resolution problem that cannot be solved based on statistical patterns in large text corpora. However, recent studies suggest that current WSC datasets, even when composed carefully by experts, are still prone to such biases that statistical methods can exploit. We introduce WINOGRANDE, a new collection of WSC problems that are adversarially constructed to be robust against spurious statistical biases. While the original WSC dataset provided only 273 instances, WINO-GRANDE includes 43.985 instances, half of which are determined as adversarial. Key to our approach is a novel adversarial filtering algorithm AFLITE for systematic bias reducThe Winograd Schema Challenge (WSC), proposed by Levesque et al. (2011) as an alternative to the Turing Test (Turing, 1950), was designed to challenge the dominant paradigm of Al systems that rely on statistical patterns without deep understanding about how the world works. Concretely, Levesque et al. (2011) introduced simple pronoun resolution problems that are trivial for humans but hard for machines by crafting problems not to be assily solvable based on frequent patterns in lanaugage. The WSC problems are defined to be a pair (called hvin) of questions with two answer choices. Here is an example:

1a. Pete envies Martin <u>because</u> he is successful.
1b. Pete envies Martin <u>although</u> he is successful.
Question: Is he Pete or Martin?
Answers: 1a - Martin, 1b - Pete

< ロ > < 同 > < 三 > < 三

71 [cs.CL] 24 Jul 2019

## Winogrande.png



### darpa mcs 1.png

IJCAI 2019 4 / 198

<ロ> (日) (日) (日) (日) (日)

https://leaderboard.allenal.org/?darpa\_offset=3

ALLEN INSTITUTE for ARTIFICIAL INTELLIGENCE



् 🖈 🕐 💽 🤲 🖮 👻 💏

#### WinoGrande: Adversarial Winograd Schema Challenge at Scale

WinoGrande is a new collection of Winograd Schema Challenge (WSC) problems that are adversarially constructed to be robust against spurious statistical biases Formulated as a binary-classification task, the goal is to choose the right option for a given sentence which requires commonsense reasoning.

1 Submission • Top score: 50.46% • Updated: 08/05/2019

#### **DARPA Leaderboards**

Social



1 Submission Top score: 33.41% Jpdated: 06/28/2019

#### Visual Commonsense Reasoning (VCR)

VCR

With one glance at an image, we can effortlessly imagine the world beyond the pixels. While this task is easy of humans, it is tremendously difficult for today vision systems, requiring higherorder cognition and commonsense reasoning about the world. We formalize this task as Visual Commonsense Reasoning. In addition to answering challenging visual questionse expressed in natural language, an model must provide a rationale explaining why its answer is...(More) 1 Submission Top score: 6.30% Updated: 06/28/2019

## darpa mcs 2.png

C. Baral and T. C. Son (ASU & NMSU)

#### The AI Universe of "Actions"

IJCAI 2019

(I)

4 / 198

#### **Example aNLI Question**

#### Examples:

Below, we present some examples of instances from the task. Each instance in the dataset takes the form of a simple story. Given the beginning and the ending of a story, the task is to choose a more likely hypothesis between two given choices. The correct answer is in **bold**.

1.

Obs1: It was a gorgeous day outside.

Obs2: She asked her neighbor for a jump-start.

Hyp1: Mary decided to drive to the beach, but her car would not start due to a dead battery.

Hyp2: It made a weird sound upon starting.

2.

Obs1: Jenny was addicted to sending text messages.

Obs2: Jenny narrowly avoided a car accident.

Hyp1: Since her friend's texting and driving car accident, Jenny keeps her phone off while driving.

Hyp2: Jenny was looking at her phone while driving so she wasn't paying attention.

## abductive nli.png



• = • •

#### **Example Social IQA Question**

In the school play, Robin played a hero in the struggle to the death with the angry villain. How would others feel as a result?

- · a) sorry for the villain
- · b) hopeful that Robin will succeed
- c) like Robin should lose the fight

#### Contact

If you need any help, please reach out to leaderboard@allenai.org.



#### Social IQA

(日) (周) (三) (三)

We introduce Social IOa: Social Interaction OA. a new question-answering benchmark for testing social commonsense intelligence. Contrary to many prior benchmarks that focus on physical or taxonomic knowledge, Social IQa focuses on reasoning about people's actions and their social implications. For example, given an action like "Jesse saw a concert" and a question like "Why did Jesse do this?", humans can easily infer that Jesse wanted "to see their favorite performer" or "to enjoy the music", and not "to see what's happening inside" or "to see if it works". The actions in Social IQa span a wide variety of social situations, and answer candidates contain both human-curated answers and adversarially-filtered machinegenerated candidates. Social IOa contains over 37,000 QA pairs for evaluating models' abilities to reason about the social implications of everyday. events and situations.

## social qa.png



IJCAI 2019 4 / 198

Pre-Al

Action, Change and Evolution: importance to KR & R

- Historical importance
- Applicability to various domains
- Various knowledge representation aspects
- Various kinds of reasoning

# Heracleitos/Herakleitos/Heraclitus of Ephesus (c. 500 BC)

as interpreted by Plato in Cratylus

"No man ever steps in the same river twice, for it is not the same river and he is not the same man."

Παντα ρεί καί ουδεν μενεί

Panta rei kai ouden menei

All things are in motion and nothing at rest.

## alternative

Alternate interpretation of what Heraclitus said

... different waters flow in rivers staying the same.

In other words, though the waters are always changing, the rivers stay the same. Indeed, it must be precisely because the waters are always changing that there are rivers at all, rather than lakes or ponds.

The message is that rivers can stay the same over time even though, or indeed because, the waters change. The point, then, is not that everything is changing, but that the fact that some things change makes possible the continued existence of other things.

# Free will and choosing ones destiny

#### 366 THE REPUBLIC OF PLATO. [BOOK X.

those of the present, Atropos those of the future. Clotho with her right hand takes hold of the outermost rim of the distaff, and twirls it altogether, at intervals; and Atropos with her left hand twirls the inner circles in like manner; while Lachesis takes hold of each in turn with either hand. Now the souls, immediately on their arrival, were required to go to Lachesis. An interpreter first of all marshalled them in order, and then having taken from the lap of Lachesis a number of lots and plans of life, mounted a high pulpit, and spoke as follows: 'Thus saith the maiden Lachesis, the daughter of Necessity. Ye short-lived souls, a new generation of men shall here begin the cycle of its mortal existence. Your destiny shall not be allotted to you, but you shall choose it for yourselves. Let him who draws the first lot be the first to choose a life, which shall be his irrevocably. Virtue owns no master: he who honours her shall have more of her, and he who slights her, less. The responsibility lies with the chooser. Heaven is guiltless.' Having said this, he threw the lots down upon the crowd; and each spirit took up the one which fell by his side, except Er himself, who was forbidden to do so. 618 Each, as he took up his lot, saw what number he had drawn. This done, the plans of life, which far outnumbered the souls that were present, were laid before them on

# Where does that line of thought lead us?

- Change is ubiquitous
- But one can shape the change in a desired way
- Some emerging KR issues
  - How to specify change
  - How to specify our desires/goals regarding the change
  - How to construct/verify ways to control the change
  - How to talk about, understand and reason about actions and change

# "Action and Change" is encountered often in Computing as well as other fields

- Robots and Agents
- Updates to a database Becomes more interesting when updates trigger active rules
- Understanding natural language; interacting in natural langauge
- Distributed Systems
- Computer programs
- Modeling cell behavior
   Ligand coming in contact with a receptor
- Construction Engineering

• . . .

# Various Kinds of Reasoning

- Prediction
- Plan verification; control verification
- Narratives
- Counterfactuals
- Causal reasoning
- Planning; control generation
- Explanation
- Diagnosis
- Hypothesis generation

# Initial Key Issue: Frame Problem

- Motivation: How to specify transition between states of the world due to actions?
  - A state transition table would be too space consuming!
- Assume by default that properties of the world normally do not change and specify the exceptions of what changes.
  - How to precisely state the above?
  - Many finer issues!
  - To be elaborate upon as we proceed further.

# Origin of the AI "frame" problem

- Leibniz, c.1679
   "everything is presumed to remain in the state in which it is"
- Newton, 1687 (Philosophiae Naturalis Principia Mathematica) An object will remain at rest, or continue to move at a constant velocity, unless a resultant force acts on it.



< ロト < 同ト < ヨト < ヨト

# Early work in AI on action and change

- 1959 McCarthy (Programs with common sense)
- 1969 McCarthy and Hayes 1969 (Some philosophical problems from the standpoint of AI) origin of the "frame problem" in AI
- 1971 Raphael (The frame problem in problem-solving systems ) Defines the frame problem nicely
- 1972 Sandewall (An approach to the frame problem)
- 1972 Hewitt (PLANNER)
- 1973 Hayes (The Frame problem and related problems in AI)
- 1977 Hayes (The logic of frames)
- 1978 Reiter (On reasoning by default)

• • = • • = •

# Quotes from McCarthy & Hayes 1969

- In the last section of part 3, in proving that one person could get into conversation with another, we were obliged to add the hypothesis that if a person has a telephone he still has it after looking up a number in the telephone book. If we had a number of actions to be performed in sequence we would have quite a number of conditions to write down that certain actions do not change the values of certain fluents. In fact with n actions and m fluents we might have to write down mn such conditions.
- We see two ways out of this difficulty. The rest is to introduce the notion of frame, like the state vector in McCarthy (1962). A number of fluents are declared as attached to the frame and the effect of an action is described by telling which fluents are changed, all others being presumed unchanged.

(日) (周) (三) (三)

## In summary . . .

Action and Change is an important topic in Al

- Its historical basis goes back to pre Plato and Aristotle days
- In AI it goes back to the founding days of AI
- It has a wide applicability
- It involves various kind of KR aspects
- It involves various kinds of reasoning
- It is crucial in understanding and interacting in natural language
- Frame problem in AI identified in AI's early days and has basis in Leibniz's and Newton's work

IJCAI 2019 16 / 198

# The Yale Shooting Problem: Hanks & McDermott (AAAI 1986)

- Nonmonotonic formal systems have been proposed as an extension to classical first-order logic that will capture the process of human "default reasoning" or "plausible inference" through their inference mechanisms, just as modus ponens provides a model for deductive reasoning.
- We provide axioms for a simple problem in temporal reasoning which has long been identified as a case of default reasoning, thus presumably amenable to representation in nonmonotonic logic. Upon examining the resulting nonmonotonic theories, however, we find that the inferences permitted by the logics are not those we had intended when we wrote the axioms, and in fact are much weaker. This problem is shown to be independent of the logic used; nor does it depend on any particular temporal representation.
- Upon analyzing the failure we find that the nonmonotonic logics we considered are inherently incapable of representing this kind of default reasoning.

イロト イポト イヨト イヨト

# From examples to formal approaches - action languages

- $\bullet\,$  Three schools: Reiter, Sandewall,  ${\cal A}$  and its follow-ups
- *A* Gelfond and Lifschitz (1993): Proposes a solution to the frame problem and assumes complete information.
- Several other languages have been developed to address the ramification problem (or the indirect effects of actions) and consider other aspects of actions:
  - ▶ B (or AL): static causal laws, addressing the ramification problem [Kartha and Lifschitz (1994); Gelfond and Lifschitz (1998); Baral and Gelfond (2000)]
  - ▶ C, C+, BC, and BC+: default and dependent fluents [Gelfond and Lifschitz (1998); Giunchiglia and Lifschitz (95); Giunchiglia et al. (1997); Lee and Lifschitz (2003); Lee et al. (2013); Babb and Lee (2015)]
  - Actions with durations, delayed effects [Baral et al. (2002a)]
  - Probability [Baral et al. (2002b); Lee and Wang (2018)]
  - Observations, history [Baral et al. (1997, 2000)]
  - Sensing actions [Son and Baral (2001)]

イロト イポト イヨト イヨト

## Overview: a brief history of action languages (20 mnt - Chitta)

- 2 Action languages in single agent environments (70 mnt Son)
  - The action language  $\mathcal{A}$ , state, and transition function
  - AL: A+ static causal laws, non-deterministic and sensing actions
     GOLOG
  - Action languages: related approaches and planning
- Action languages and causality (30 mnt Chitta)
  Pearl's do-calculus
- 4 Action languages in multi-agent environments (60 mnt Son)
  - mA\*, Kripke structure, update models, and transition function
    mA\* in epistemic planning

5 Action languages in commonsense reasoning (18 mnt - Chitta)

- Commonsense reasoning and actions
- Acquiring knowledge about actions

Open challenges, conclusion, and discussion (12 mnt - Chitta)

소리가 소문가 소문가 소문가 ...

# Overarching Approach

## Dynamic systems as state transitions systems



Going to the Airport

Two important notions:

- State?
- Transition?

Adding the action walk(X, Y)

(日) (周) (三) (三)

# Overarching Approach

## Dynamic systems as state transitions systems



Going to the Airport

Adding the action walk(X, Y)

イロト イポト イヨト イヨト

Two important notions: lead to the following questions:

- State what should be the state?
- Transition how to define transitions between states?

## These are the two questions for any action language

# Basic Ontologies (Action Languages, Gelfond and Lifschitz (1993))

Gelfond & Lifschitz. Representing actions in extended logic programs. Journal of Logic Programming, 1993.

- Fluents: property of the world whose value could be changed by actions (e.g., *at(john, home)*)
- Actions: change the state of the world, whose executions create transitions between states of the world (e.g., *drive(home, airport)*)
- Fluent literal: a fluent or its negation (a fluent preceding by ¬)
   E.g. at(john, home), ¬at(john, home)
- State: two commonly used definitions
  - ▶ a set of fluents ( $s \subseteq F$ : whatever is in s is true; otherwise, it is false) or
  - a complete and consistent set of fluent literals, i.e., s is a state if for every fluent f
    - ★ either f or  $\neg f$  belongs to s; and
    - ★  $\{f, \neg f\} \not\subseteq s$ .

Notion: a literal *I* is true in a state *s* 

イロト 不得 トイヨト イヨト 二日
## Language $\mathcal{A}$ — Syntax

In A, an action theory is defined over two disjoint sets, a set of fluents F and a set of actions A, and is a set of statements of the form

a causes f if 
$$p_1, \dots, p_n$$
(1)a executable\_if  $p_1, \dots, p_n$ (2)initially f(3)

where f and  $p_i$ 's are fluent literals (a *fluent literal* is either a fluent g or its negation  $\neg g$ ) and a is an action.

#### Dynamic Law

A statement of the form (1): *a* causes *f* if  $p_1, \ldots, p_n$ 

is called a dynamic law. It represents the (conditional) effect of action a. It says that if a is executed and  $p_1, \ldots, p_n$  are true then f becomes true.

#### Dynamic Law: Examples

• Stacking a block X on top of block Y causes X to be on Y, X is clear, Y is no longer clear, and the agent does not hold anything can be expressed by

#### Dynamic Law

A statement of the form (1): *a* causes *f* if  $p_1, \ldots, p_n$ 

is called a dynamic law. It represents the (conditional) effect of action a. It says that if a is executed and  $p_1, \ldots, p_n$  are true then f becomes true.

#### Dynamic Law: Examples

- Stacking a block X on top of block Y causes X to be on Y, X is clear, Y is no longer clear, and the agent does not hold anything can be expressed by stack(X, Y) causes on(X, Y)
   stack(X, Y) causes clear(X)
   stack(X, Y) causes ¬clear(Y)
   stack(X, Y) causes handEmpty
   Shorthand formalization:
   stack(X, Y) causes on(X, Y), clear(X), ¬clear(Y), handEmpty
- Shooting causes the turkey to be dead and the gun becomes unloaded if the gun is loaded can be expressed by

#### Dynamic Law

A statement of the form (1): *a* causes f if  $p_1, \ldots, p_n$ 

is called a dynamic law. It represents the (conditional) effect of action a. It says that if a is executed and  $p_1, \ldots, p_n$  are true then f becomes true.

#### Dynamic Law: Examples

Stacking a block X on top of block Y causes X to be on Y, X is clear, Y is no longer clear, and the agent does not hold anything can be expressed by stack(X, Y) causes on(X, Y) stack(X, Y) causes clear(X) stack(X, Y) causes ¬clear(Y) stack(X, Y) causes handEmpty
 Shorthand formalization: stack(X, Y) causes on(X, Y), clear(X), ¬clear(Y), handEmpty

 Shooting causes the turkey to be dead and the gun becomes unloaded if the gun is loaded can be expressed by shoot causes dead if loaded and shoot causes ¬loaded if loaded

C. Baral and T. C. Son (ASU & NMSU)

# Executability Condition (Preconditions)

A statement of the form (2):

```
a executable_if p_1 \ldots, p_n
```

is a executability condition statement. It states that *a* can be executed only if  $p_1, \ldots, p_n$  are true.

Examples

• A gun can be loaded only when it is not loaded

くほと くほと くほと

# Executability Condition (Preconditions)

A statement of the form (2):

```
a executable_if p_1 \ldots, p_n
```

is a executability condition statement. It states that *a* can be executed only if  $p_1, \ldots, p_n$  are true.

#### Examples

- A gun can be loaded only when it is not loaded load executable\_if ¬loaded
- One can pick up a block X only if one's hand is empty, X is clear, and X is on the table

- 4 目 ト - 4 日 ト - 4 日 ト

# Executability Condition (Preconditions)

A statement of the form (2):

```
a executable_if p_1 \ldots, p_n
```

is a executability condition statement. It states that *a* can be executed only if  $p_1, \ldots, p_n$  are true.

#### Examples

• A gun can be loaded only when it is not loaded load executable\_if ¬loaded

 One can pick up a block X only if one's hand is empty, X is clear, and X is on the table pickup(X) executable\_if onTable(X), clear(X), handEmpty

イロト イポト イヨト イヨト

### Initial State

A statement of the form (3):

#### initially f

is a initial state statement. It states that f is true in the initial state.

Examples

• Initially, the gun is loaded:

• = • •

### Initial State

A statement of the form (3):

#### initially f

is a initial state statement. It states that f is true in the initial state.

Examples

- Initially, the gun is loaded: initially loaded
- Initially, a is on the table, c is on the table, b is on c.

★ ∃ >

### Initial State

A statement of the form (3):

#### initially f

is a initial state statement. It states that f is true in the initial state.

Examples

 Initially, the gun is loaded: initially loaded

Initially, a is on the table, c is on the table, b is on c.
 initially onTable(a)
 initially onTable(c)
 initially on(b, c)

IJCAI 2019 25 / 198

(4) (5) (4) (5)

# Action Theory

An action theory is given by a pair (D, I) where D consists of statements of the form (1)-(2) and I consists of propositions of the form (3).

#### Yale Shooting Problem

Represented as an action theory  $(D_y, I_y)$ 

 $I_y = \{ \text{ initially } \neg dead, \text{ initially } loaded \}$ 

 $\mathsf{and}$ 

$$D_{y} = \begin{cases} shoot \text{ causes } dead \text{ if } loaded \\ shoot \text{ causes } \neg loaded \text{ if } loaded \\ load \text{ causes } loaded \\ shoot \text{ executable_if } true \\ load \text{ executable_if } \neg loaded \end{cases}$$

通 ト イヨ ト イヨト

## Language A - Semantics: Intuition

The set of fluents define the states in an action theory.



< ∃ > <

# Language A - Semantics: Intuition

The set of fluents define the states in an action theory. The set of dynamic laws specify the transitions between states in the domain



## States and Transitions What is a state?

Let D be a domain with a set of fluents F.

A state s of F is a complete and consistent set of literals constructed from F.
complete: ∀f ∈ F.[f ∈ s ∨ ¬f ∈ s]
consistent: ∀f ∈ F.[¬(f ∈ s ∧ ¬f ∈ s)]

Following are some the states in the Yale shooting domain:

$$s_1 = \{\neg dead, loaded\}$$
  

$$s_2 = \{dead, loaded\}$$
  

$$s_3 = \{\neg dead, \neg loaded\}$$
  

$$s_4 = \{dead, \neg loaded\}$$

A fluent f is said to be true (resp. false) in a state s iff  $f \in s$  (resp.  $\neg f \in s$ ).

## States and Transitions What is a transition?

- An action a is executable in a state s if there exists a executable\_if p<sub>1</sub>,..., p<sub>n</sub> in D such that p<sub>1</sub>,..., p<sub>n</sub> are true in s. Clearly, if a executable\_if true belongs to D, then a is executable in every state of D.
- The set of effects of an action *a* in a state *s* is the set

$$e(a,s) = \{f \mid a \text{ causes } f \text{ if } p_1, \ldots, p_n \in D, p_i \text{ is true in } s\}.$$

Define

$$\overline{e(a,s)} = \{\neg f \mid f \in F \cap e(a,s)\} \cup \{f \mid f \in F, \neg f \in e(a,s)\}$$

- For a domain D, Φ(a, s), the state resulting from executing a in s, is defined as follows.
  - If a is executable in s, then

$$\Phi(a,s) = s \setminus \overline{e(a,s)} \cup e(a,s)$$

2 If a is not executable in s, then  $\Phi(a, s) = undefined$ .

### States and Transitions: Examples

$$D_y = \begin{cases} shoot \text{ causes } dead \text{ if } loaded \\ shoot \text{ causes } \neg loaded \text{ if } loaded \\ load \text{ causes } loaded \\ shoot \text{ executable_if } true \\ load \text{ executable_if } \neg loaded \end{cases}$$

$$s_{1} = \{\neg dead, loaded\}$$

$$s_{2} = \{dead, loaded\}$$

$$s_{3} = \{\neg dead, \neg loaded\}$$

$$s_{4} = \{dead, \neg loaded\}$$

Image: A math a math

$$\begin{aligned} &\Phi(shoot, s_1) = \\ &\Phi(load, s_1) = \\ &\Phi(shoot, s_2) = \\ &\Phi(load, s_2) = \\ &\Phi(shoot, s_3) = \\ &\Phi(load, s_3) = \\ &\Phi(shoot, s_4) = \\ &\Phi(load, s_4) = \end{aligned}$$

 IJCAI 2019
 30 / 198

$$D_{y} = \begin{cases} shoot causes dead if loaded & s_{1} = \{\neg dead, loaded\} \\ shoot causes \neg loaded if loaded & s_{2} = \{dead, loaded\} \\ load causes loaded & s_{3} = \{\neg dead, \neg loaded\} \\ shoot executable_if true & s_{4} = \{dead, \neg loaded\} \\ load executable_if \neg loaded & dead, \neg loaded \\ \end{cases}$$

$$\Phi(shoot, s_{1}) = s_{1} \setminus \overline{\{dead, \neg loaded\}} \cup \{dead, \neg loaded\} \\ \{dead, \neg loaded\} \\ \Phi(load, s_{1}) = \\ \Phi(shoot, s_{2}) = \\ \Phi(shoot, s_{3}) = \\ \Phi(shoot, s_{4}) = \\ \Phi(load, s_{4}) = \end{cases}$$

$$D_{y} = \begin{cases} shoot causes dead if loaded & s_{1} = \{\neg dead, loaded\} \\ shoot causes \neg loaded if loaded & s_{2} = \{dead, loaded\} \\ load causes loaded & s_{3} = \{\neg dead, \neg loaded\} \\ shoot executable_if true & s_{4} = \{dead, \neg loaded\} \\ load executable_if \neg loaded & 0 \\ \{dead, \neg loaded\} \cup \{dead, \neg loaded\} \\ \{dead, \neg loaded\} \cup \{dead, \neg loaded\} \\ \{dead, \neg loaded\} \\ \Phi(load, s_{1}) = undefined (load cannot execute load in s_{1}) \\ \Phi(shoot, s_{2}) = \\ \Phi(load, s_{3}) = \\ \Phi(load, s_{4}) = \\ \Phi(load, s_{4}) = \end{cases}$$

$$D_{y} = \begin{cases} shoot causes dead if loaded & s_{1} = \{\neg dead, loaded\} \\ shoot causes \neg loaded if loaded & s_{2} = \{dead, loaded\} \\ load causes loaded & s_{3} = \{\neg dead, \neg loaded\} \\ shoot executable_if true & s_{4} = \{dead, \neg loaded\} \\ load executable_if \neg loaded & 0 \\ \{dead, \neg loaded\} \cup \{dead, \neg loaded\} \\ \{dead, \neg loaded\} \cup \{dead, \neg loaded\} \\ \{dead, \neg loaded\} \\ \Phi(load, s_{1}) = undefined (load cannot execute load in s_{1}) \\ \Phi(shoot, s_{2}) = \{dead, \neg loaded\} \\ \Phi(load, s_{3}) = \\ \Phi(load, s_{4}) = \\ \Phi(load, s_{4}) = \end{cases}$$

$$D_{y} = \begin{cases} shoot causes dead if loaded & s_{1} = \{\neg dead, loaded\} \\ shoot causes \neg loaded if loaded & s_{2} = \{dead, loaded\} \\ load causes loaded & s_{3} = \{\neg dead, \neg loaded\} \\ shoot executable_if true & s_{4} = \{dead, \neg loaded\} \\ load executable_if \neg loaded & 0 \\ \{dead, \neg loaded\} \cup \{dead, \neg loaded\} \\ \{dead, \neg loaded\} \cup \{dead, \neg loaded\} \\ \{dead, \neg loaded\} \\ \Phi(load, s_{1}) = undefined (load cannot execute load in s_{1}) \\ \Phi(shoot, s_{2}) = \{dead, \neg loaded\} \\ \Phi(load, s_{2}) = undefined \\ \Phi(shoot, s_{3}) = \{\neg dead, \neg loaded\} \\ \Phi(load, s_{4}) = \{\neg dead, \neg loaded\} \\ \Phi(load, s_{4}) = \{\neg dead, loaded\} \\ \Phi(load, s_{4}) = \{\neg dead, loaded\} \end{cases}$$

#### Transition System of Yale Shooting



#### Intuition

When an action is executed, the following can happen

- the action directly changes some fluents;
- other fluents stay unchanged;

#### Intuition

When an action is executed, the following can happen

- the action directly changes some fluents; this is what in e(a, s)!
- other fluents stay unchanged;

#### Intuition

When an action is executed, the following can happen

- the action directly changes some fluents; this is what in e(a, s)!
- other fluents stay unchanged; this is what in  $s \setminus e(a, s)$ ! [frame problem]

#### Intuition

When an action is executed, the following can happen

- the action directly changes some fluents; this is what in e(a, s)!
- other fluents stay unchanged; this is what in s \ e(a, s)! [frame problem]

Given a, s, and D, assume that a is executable in s  $e(a, s) = \{f \mid a \text{ causes } f \text{ if } p_1, \dots, p_n \in D, p_i \text{ is true in } s\}$  $\Phi(a, s) = s \setminus e(a, s) \cup e(a, s)$ 

IJCAI 2019 32 / 198

# Reasoning about Plan: the entailment $(\models)$ relation

 $\Phi(a, s)$ : the result of executing the action *a* in a state *s*. Consider a sequence of actions (or plan)  $\alpha = [a_1; \ldots; a_n]$ What are true/false after the execution of  $\alpha$  from the initial state?

#### $(D, I) \models I \text{ after } \alpha?$

Define  $\widehat{\Phi}(\alpha, s)$ .

• 
$$\widehat{\Phi}([],s) = s$$

if a is executable in s then Φ̂([a, β], s) = Φ̂(β, Φ(a, s));
 otherwise, Φ̂([a, β], s) = undefined

Let  $s_0$  be the initial state:

 $(D, I) \models I \text{ after } \alpha$ 

iff  $\widehat{\Phi}(\alpha, s_0)$  is defined and *I* is true in  $\widehat{\Phi}(\alpha, s_0)$ .

### ⊨: Example

$$D_{y} = \begin{cases} shoot \text{ causes } dead \text{ if } loaded \\ shoot \text{ causes } \neg loaded \text{ if } loaded \\ load \text{ causes } loaded \\ shoot \text{ executable_if } true \\ load \text{ executable_if } \neg loaded \end{cases}$$

$$I_{y} = \begin{cases} \text{ initially } \neg \textit{loaded} \\ \text{ initially } \neg \textit{dead} \end{cases}$$

Initial state:  $s_0 = \{\neg dead, \neg loaded\}$ 

• 
$$(D_y, I_y) \models loaded \text{ after } [load] \text{ because } \Phi(load, s_0) = \{\neg dead, loaded\}.$$

• 
$$(D_y, I_y) \models dead \text{ after } [load, shoot] \text{ because}$$
  
 $\widehat{\Phi}([load, shoot], s_0) = \Phi(shoot, \{\neg dead, loaded\}) = \{ dead, \neg loaded \}.$ 

# Static Causal Law

Fluents are related to each others.

Sometime, a change of a fluent's value causes other fluents to change. This is often referred to as **indirect effects (of actions)** or the **ramification problem** in RAC.

Examples

- Dead turkeys cannot walk
   ¬walking if dead
- One block cannot be on top of two different blocks false if  $on(X, Y), on(X, Z), Y \neq Z, X \neq Y$
- A block is on top of another block cannot be on the table  $\neg onTable(X)$  if  $on(X, Y), X \neq Y$

★聞▶ ★ 国▶ ★ 国▶

# Static Causal Law

A statement of the form

f if 
$$p_1, \ldots, p_n$$
 (4)

is a **static causal law** which represents the relationship between fluents. It is a constraint stating that whenever  $p_1, \ldots, p_n$  are true then f must be true.

#### Examples

- Dead turkeys cannot walk
   ¬walking if dead
- One block cannot be on top of two different blocks false if  $on(X, Y), on(X, Z), Y \neq Z, X \neq Y$

• A block is on top of another block cannot be on the table  $\neg onTable(X)$  if  $on(X, Y), X \neq Y$ 

C. Baral and T. C. Son (ASU & NMSU)

IJCAI 2019 36 / 198

# ${\cal AL}$ Action Theory

An action theory is given by a pair (D, I) where D consists of statements of the form (1)-(2) and (4) and I consists of statements of the form (3).

Yale Shooting Problem with Static Causal Laws

Represented as an action theory  $(D_y^w, I_y^w)$ 

 $I_{\gamma}^{w} = \{ \text{ initially } \neg dead, \text{ initially } walking, \text{ initially } loaded \}$ 

and

$$D_{y}^{w} = \begin{cases} shoot \text{ causes } dead \text{ if } loaded \\ shoot \text{ causes } \neg loaded \text{ if } loaded \\ load \text{ causes } loaded \\ \neg walking \text{ if } dead \\ shoot \text{ executable_if } true \\ load \text{ executable_if } \neg loaded \end{cases}$$

3

(日) (同) (三) (三)

Can state be the same as in  $\mathcal{A}$  (a complete and consistent set of literals)? The presence of static caucal laws remains some potential states

The presence of static causal laws removes some potential states

#### Potential states:

 $\begin{array}{l} s_1 = \{ \neg dead, walking, loaded \} \\ s_2 = \{ dead, \neg walking, loaded \} \\ s_3 = \{ \neg dead, walking, \neg loaded \} \\ s_4 = \{ \neg dead, \neg walking, loaded \} \\ s_5 = \{ \neg dead, \neg walking, \neg loaded \} \\ s_6 = \{ dead, \neg walking, \neg loaded \} \\ s_7 = \{ dead, walking, \neg loaded \} \\ s_8 = \{ dead, walking, \neg loaded \} \\ \end{array}$ 



Can state be the same as in  $\mathcal{A}$  (a complete and consistent set of literals)? The presence of static causal laws removes some potential states



#### Need a way to eliminate unrealistic states!

Given a set of literals X, Cn(X) is the minimal set of literals that

- contains X and
- satisfies all static laws in D.

```
Yale Shooting Domain
Has one static law: \neg walking if dead
x_1 = \{\neg dead\}
x_2 = \{dead, loaded\}
x_3 = \{walking, \neg loaded\}
x_4 = \{dead, walking, \neg loaded\}
```

• • = • • = •

Given a set of literals X, Cn(X) is the minimal set of literals that

- contains X and
- satisfies all static laws in D.

```
Yale Shooting Domain
Has one static law: \neg walking if dead
x_1 = \{\neg dead\} Cn(x_1) = \{\neg dead\}
x_2 = \{dead, loaded\}
x_3 = \{walking, \neg loaded\}
x_4 = \{dead, walking, \neg loaded\}
```

• • = • • = •

Given a set of literals X, Cn(X) is the minimal set of literals that

- contains X and
- satisfies all static laws in D.

#### Yale Shooting Domain Has one static law: $\neg$ walking if dead $x_1 = \{\neg dead\}$ $Cn(x_1) = \{\neg dead\}$ $x_2 = \{dead, loaded\}$ $Cn(x_2) = \{dead, \neg walking, loaded\}$ $x_3 = \{walking, \neg loaded\}$ $x_4 = \{dead, walking, \neg loaded\}$

• • = • • = •

Given a set of literals X, Cn(X) is the minimal set of literals that

- contains X and
- satisfies all static laws in D.

## Yale Shooting Domain

Has one static law:  $\neg$  walking if dead  $x_1 = \{\neg dead\}$   $Cn(x_1) = \{\neg dead\}$   $x_2 = \{dead, loaded\}$   $Cn(x_2) = \{dead, \neg walking, loaded\}$   $x_3 = \{walking, \neg loaded\}$   $Cn(x_3) = \{walking, \neg loaded\}$  $x_4 = \{dead, walking, \neg loaded\}$ 

通 と く ヨ と く ヨ と
# States and Transitions in $\mathcal{AL}$ [What is a state?] — Cn(X)

Given a set of literals X, Cn(X) is the minimal set of literals that

- contains X and
- satisfies all static laws in D.

### Yale Shooting Domain

Has one static law:  $\neg$  walking **if** dead  $x_1 = \{\neg dead\}$   $Cn(x_1) = \{\neg dead\}$   $x_2 = \{dead, loaded\}$   $Cn(x_2) = \{dead, \neg walking, loaded\}$   $x_3 = \{walking, \neg loaded\}$   $Cn(x_3) = \{walking, \neg loaded\}$   $x_4 = \{dead, walking, \neg loaded\}$  $Cn(x_4) = \{dead, walking, \neg walking, \neg loaded\}$ 

Sometime, Cn(X) is inconsistent!

# States and Transitions in $\mathcal{AL}$ [What is a state?] — Cn(X)

Given a set of literals X, Cn(X) is the minimal set of literals that

- contains X and
- satisfies all static laws in D.

#### Yale Shooting Domain

Has one static law:  $\neg$  walking if dead  $x_1 = \{\neg dead\}$   $Cn(x_1) = \{\neg dead\}$   $x_2 = \{dead, loaded\}$   $Cn(x_2) = \{dead, \neg walking, loaded\}$   $x_3 = \{walking, \neg loaded\}$   $Cn(x_3) = \{walking, \neg loaded\}$   $x_4 = \{dead, walking, \neg loaded\}$  $Cn(x_4) = \{dead, walking, \neg walking, \neg loaded\}$ 

#### Sometime, Cn(X) is inconsistent!

# States in ALA complete and consistent set of literals s is a state if s = Cn(s).

C. Baral and T. C. Son (ASU & NMSU)

The AI Universe of "Actions'

# States and Transitions in $\mathcal{AL}$ What is a transition?

The notion of executability of an action a in a state s remains the same: if there exists an executability proposition a executable\_if  $p_1, \ldots, p_n$  in D such that  $p_1, \ldots, p_n$  are true in s then a is executable in s. The set of effects of an action a in a state s is the set

 $e(a,s) = \{f \mid a \text{ causes } f \text{ if } p_1, \ldots, p_n \in D, p_i \text{ is true in } s\}.$ 

For a domain description D,  $\Phi(a, s)$ , the set of states that may be reached by executing a in s, is defined as follows.

If a is executable in s, then

 $\Phi(a,s) = \{s' \mid Cn(s') = Cn((s \cap s') \cup e(a,s))\}$ 

**2** If a is not executable in s, then  $\Phi(a, s) = undefined$ .

イロト 不得下 イヨト イヨト 二日

#### Intuition

When an action is executed in s and the result is a state s', the following can happen

- the action directly changes some fluents;
- some fluents stay unchanged;
- some fluents were changed indirectly.

Given a, s, and D, assume that a is executable in s  $e(a,s) = \{f \mid a \text{ causes } f \text{ if } p_1, \dots, p_n \in D, p_i \text{ is true in } s\}.$  $\Phi(a,s) = \{s' \mid Cn(s') = Cn((s \cap s') \cup e(a,s))\}$ 

#### Intuition

When an action is executed in s and the result is a state s', the following can happen

- the action directly changes some fluents; this is what in e(a, s)!
- some fluents stay unchanged;
- some fluents were changed indirectly.

Given a, s, and D, assume that a is executable in s  $e(a, s) = \{f \mid a \text{ causes } f \text{ if } p_1, \dots, p_n \in D, p_i \text{ is true in } s\}.$  $\Phi(a, s) = \{s' \mid Cn(s') = Cn((s \cap s') \cup e(a, s))\}$ 

• • = • • = •

#### Intuition

When an action is executed in s and the result is a state s', the following can happen

- the action directly changes some fluents; this is what in e(a, s)!
- some fluents stay unchanged; this is what in  $s \cap s'$ ! [frame problem]
- some fluents were changed indirectly.

Given a, s, and D, assume that a is executable in s  $e(a, s) = \{f \mid a \text{ causes } f \text{ if } p_1, \dots, p_n \in D, p_i \text{ is true in } s\}.$  $\Phi(a, s) = \{s' \mid Cn(s') = Cn((s \cap s') \cup e(a, s))\}$ 

過 ト イヨ ト イヨト

#### Intuition

When an action is executed in s and the result is a state s', the following can happen

- the action directly changes some fluents; this is what in e(a, s)!
- some fluents stay unchanged; this is what in  $s \cap s'$ ! [frame problem]
- some fluents were changed indirectly. this is what in  $Cn((s \cap s') \cup e(a, s))!$  [ramification problem]

Given a, s, and D, assume that a is executable in s  $e(a,s) = \{f \mid a \text{ causes } f \text{ if } p_1, \dots, p_n \in D, p_i \text{ is true in } s\}.$  $\Phi(a,s) = \{s' \mid Cn(s') = Cn((s \cap s') \cup e(a,s))\}$ 

- 4 週 ト - 4 三 ト - 4 三 ト -

$$D_{y}^{w} = \begin{cases} shoot causes dead if loaded \\ shoot causes \neg loaded if loaded \\ load causes loaded \\ \neg walking if dead \\ shoot executable_if true \\ load executable_if \neg loaded \end{cases}$$

$$s_1 = \{\neg dead, walking, loaded\}$$
  
 $s_2 = \{dead, \neg walking, loaded\}$   
 $s_3 = \{\neg dead, walking, \neg loaded\}$ 

• • • • • • • • • • • •

$$\begin{aligned} &\Phi(shoot, s_1) = \\ &\Phi(load, s_1) = \\ &\Phi(shoot, s_2) = \\ &\Phi(load, s_2) = \\ &\Phi(shoot, s_3) = \\ &\Phi(load, s_3) = \end{aligned}$$

$$D_{y}^{w} = \begin{cases} shoot causes dead if loaded \\ shoot causes \neg loaded if loaded \\ load causes loaded \\ \neg walking if dead \\ shoot executable_if true \\ load executable_if \neg loaded \\ \end{cases}$$

$$\Phi(shoot, s_{1}) = \{ \{ dead, \neg loaded, \neg walking \} \}$$

$$\Phi(load, s_{1}) = \{ (load, s_{2}) = \\ \Phi(shoot, s_{3}) = \\ \Phi(load, s_{3}) = \\ \Phi(load, s_{3}) = \end{cases}$$

$$s_1 = \{\neg dead, walking, loaded\}$$
  
 $s_2 = \{dead, \neg walking, loaded\}$   
 $s_3 = \{\neg dead, walking, \neg loaded\}$ 

• • • • • • • • • • • •

$$D_{y}^{w} = \begin{cases} shoot \text{ causes } dead \text{ if } loaded \\ shoot \text{ causes } \neg loaded \text{ if } loaded \\ load \text{ causes } loaded \\ \neg walking \text{ if } dead \\ \neg walking \text{ if } dead \\ shoot \text{ executable_if } true \\ load \text{ executable_if } \neg loaded \\ \\ \Phi(shoot, s_{1}) = \{\{dead, \neg loaded, \neg walking\}\} \\ \Phi(load, s_{1}) = undefined (cannot execute load in s_{1}) \\ \Phi(shoot, s_{2}) = \\ \Phi(shoot, s_{3}) = \\ \Phi(load, s_{3}) = \end{cases}$$

→ ∃ →

$$D_{y}^{w} = \begin{cases} shoot \text{ causes } dead \text{ if } loaded \\ shoot \text{ causes } \neg loaded \text{ if } loaded \\ load \text{ causes } loaded \\ \neg load \text{ causes } loaded \\ \neg walking \text{ if } dead \\ \neg walking \text{ if } dead \\ shoot \text{ executable_if } true \\ load \text{ executable_if } \neg loaded \\ \\ \Phi(shoot, s_1) = \{\{dead, \neg loaded, \neg walking\}\} \\ \Phi(load, s_1) = undefined (cannot execute load in s_1) \\ \Phi(shoot, s_2) = \{\{dead, \neg loaded, \neg walking\}\} \\ \Phi(load, s_2) = \\ \Phi(shoot, s_3) = \\ \Phi(load, s_3) = \end{cases}$$

# States and Transitions in $\mathcal{AL}$ : Examples

$$D_{y}^{w} = \begin{cases} shoot causes dead if loaded \\ shoot causes \neg loaded if loaded \\ load causes \neg loaded if loaded \\ ad causes |oaded \\ \neg walking if dead \\ shoot executable_if dead \\ shoot executable_if rue \\ load executable_if \neg loaded \\ \\ \Phi(shoot, s_{1}) = \{\{dead, \neg loaded, \neg walking\}\} \\ \Phi(load, s_{1}) = undefined (cannot execute load in s_{1}) \\ \Phi(shoot, s_{2}) = \{\{dead, \neg loaded, \neg walking\}\} \\ \Phi(load, s_{2}) = undefined \\ \Phi(shoot, s_{3}) = \\ \Phi(load, s_{3}) = \end{cases}$$

$$D_{y}^{w} = \begin{cases} shoot causes dead if loaded \\ shoot causes \neg loaded if loaded \\ load causes \ loaded \\ \neg walking if \ dead \\ shoot executable_if \ true \\ load executable_if \ \neg loaded \\ \end{cases} s_{3} = \{\neg dead, \ walking, \ loaded\} \\ s_{3} = \{\neg dead, \ walking, \ \neg loaded\} \\ \Phi(shoot, s_{1}) = \{\{dead, \ \neg loaded, \ \neg walking\}\} \\ \Phi(load, s_{1}) = undefined \ (cannot execute \ load \ in \ s_{1}) \\ \Phi(shoot, s_{2}) = \{\{dead, \ \neg loaded, \ \neg walking\}\} \\ \Phi(load, s_{2}) = undefined \\ \Phi(shoot, s_{3}) = \{\{\neg dead, \ walking, \ \neg loaded\}\} \\ \Phi(load, s_{3}) = \{\{\neg dead, \ walking, \ \neg loaded\}\} \\ \Phi(load, s_{3}) = \{\{\neg dead, \ walking, \ \neg loaded\}\} \\ \Phi(load, s_{3}) = \{\{\neg dead, \ walking, \ \neg loaded\}\} \\ \Phi(load, s_{3}) = \{\{\neg dead, \ walking, \ \neg loaded\}\} \\ \Phi(load, s_{3}) = \{\{\neg dead, \ walking, \ \neg loaded\}\} \\ \Phi(load, s_{3}) = \{\{\neg dead, \ walking, \ \neg loaded\}\} \\ \Phi(load, s_{3}) = \{\neg dead, \ walking, \ \neg loaded\}\} \\ \Phi(load, s_{3}) = \{\neg dead, \ walking, \ \neg loaded\} \\ \Phi(load, s_{3}) = \{\neg dead, \ walking, \ \neg loaded\}\} \\ \Phi(load, s_{3}) = \{\neg dead, \ walking, \ \neg loaded\} \\ \Phi(load, s_{3}) = \{\neg dead$$

$$D_{y}^{w} = \begin{cases} shoot causes dead if loaded \\ shoot causes \neg loaded if loaded \\ load causes \neg loaded if loaded \\ load causes loaded \\ \neg walking if dead \\ shoot executable_if true \\ load executable_if \neg loaded \\ \end{cases} s_{2} = \{dead, \neg walking, loaded\} \\ s_{3} = \{\neg dead, walking, \neg loaded\} \\$$
  
$$\Phi(shoot, s_{1}) = \{\{dead, \neg loaded, \neg walking\}\} \\ \Phi(load, s_{1}) = undefined (cannot execute load in s_{1}) \\ \Phi(shoot, s_{2}) = \{\{dead, \neg loaded, \neg walking\}\} \\ \Phi(load, s_{2}) = undefined \\ \Phi(shoot, s_{3}) = \{\{\neg dead, walking, \neg loaded\}\} \\ \Phi(load, s_{3}) = \{\{\neg dead, walking, loaded\}\} \end{cases}$$

C. Baral and T. C. Son (ASU & NMSU)

IJCAI 2019 42 / 198

## Transition System of Yale Shooting with Causal Laws

 $\begin{array}{l} s_1 = \{\neg dead, walking, loaded\} \\ s_2 = \{dead, \neg walking, loaded\} \\ s_3 = \{\neg dead, walking, \neg loaded\} \\ s_4 = \{\neg dead, \neg walking, loaded\} \\ s_5 = \{\neg dead, \neg walking, \neg loaded\} \\ s_6 = \{dead, \neg walking, \neg loaded\} \\ s_7 = \{dead, walking, loaded\} \\ s_8 = \{dead, walking, \neg loaded\} \\ \end{array}$ 



## Transition System of Yale Shooting with Causal Laws

 $\begin{array}{l} s_1 = \{\neg dead, walking, loaded\} \\ s_2 = \{dead, \neg walking, loaded\} \\ s_3 = \{\neg dead, walking, \neg loaded\} \\ s_4 = \{\neg dead, \neg walking, loaded\} \\ s_5 = \{\neg dead, \neg walking, \neg loaded\} \\ s_6 = \{dead, \neg walking, \neg loaded\} \\ s_7 = \{dead, walking, loaded\} \\ s_8 = \{dead, walking, \neg loaded\} \\ \end{array}$ 



## Transition System of Yale Shooting with Causal Laws

 $\begin{array}{l} s_1 = \{\neg dead, walking, loaded\} \\ s_2 = \{dead, \neg walking, loaded\} \\ s_3 = \{\neg dead, walking, \neg loaded\} \\ s_4 = \{\neg dead, \neg walking, loaded\} \\ s_5 = \{\neg dead, \neg walking, \neg loaded\} \\ s_6 = \{dead, \neg walking, \neg loaded\} \\ s_7 = \{dead, walking, loaded\} \\ s_8 = \{dead, walking, \neg loaded\} \\ \end{array}$ 



# How To Compute $\Phi(a, s)$ ?

Given a, s, and D, assume that a is executable in s  $e(a,s) = \{f \mid a \text{ causes } f \text{ if } p_1, \dots, p_n \in D, p_i \text{ is true in } s\}.$  $\Phi(a,s) = \{s' \mid Cn(s') = Cn((s \cap s') \cup e(a,s))\}$ 

### Computing $\Phi(a, s)$

- Compute e(a, s)
- 2 Eliminate from s those that are false in e(a, s)
- Identify maximal sets of atoms X that remain in s and can be joined together with e(a, s) such that s' = Cn(X ∪ e(a, s)) is a consistent set of literals and is an interpretation.

- 4 同 6 4 日 6 4 日 6

$$D_{y} = \begin{cases} shoot \text{ causes } dead \text{ if } loaded \\ shoot \text{ causes } \neg loaded \text{ if } loaded \\ load \text{ causes } loaded \end{cases}$$

shoot executable\_if true ¬walking if dead load executable\_if ¬loaded

$$s_1 = \{\neg dead, walking, loaded\}$$
. Computer  $\Phi(shoot, s_1)$ 

- **Oracle Compute**  $e(shoot, s_1) =$
- 2 Eliminate from  $s_1$  those that are false in  $e(shoot, s_1)$ :
- Output: Identify maximal sets of atoms X that remain in s₁ and can be joined together with e(shoot, s₁) s.t. s' = Cn(X ∪ e(shoot, s₁)) is a consistent set of literals and is an interpretation.

$$D_{y} = \begin{cases} shoot \text{ causes } dead \text{ if } loaded \\ shoot \text{ causes } \neg loaded \text{ if } loaded \\ load \text{ causes } loaded \end{cases}$$

shoot executable\_if true ¬walking if dead load executable\_if ¬loaded

$$s_1 = \{\neg dead, walking, loaded\}$$
. Computer  $\Phi(shoot, s_1)$ 

- Compute  $e(shoot, s_1) = \{dead, \neg loaded\}$
- 2 Eliminate from  $s_1$  those that are false in  $e(shoot, s_1)$ :
- Output: Identify maximal sets of atoms X that remain in s₁ and can be joined together with e(shoot, s₁) s.t. s' = Cn(X ∪ e(shoot, s₁)) is a consistent set of literals and is an interpretation.

$$D_{y} = \begin{cases} shoot \text{ causes } dead \text{ if } loaded \\ shoot \text{ causes } \neg loaded \text{ if } loaded \\ load \text{ causes } loaded \end{cases}$$

shoot executable\_if true ¬walking if dead load executable\_if ¬loaded

$$s_1 = \{\neg dead, walking, loaded\}$$
. Computer  $\Phi(shoot, s_1)$ 

**1** Compute 
$$e(shoot, s_1) = \{dead, \neg loaded\}$$

- Eliminate from s<sub>1</sub> those that are false in e(shoot, s<sub>1</sub>): remaining from s<sub>1</sub>: {walking}
- Identify maximal sets of atoms X that remain in s₁ and can be joined together with e(shoot, s₁) s.t. s' = Cn(X ∪ e(shoot, s₁)) is a consistent set of literals and is an interpretation.

C. Baral and T. C. Son (ASU & NMSU)

IJCAI 2019 45 / 198

$$D_{y} = \begin{cases} shoot \text{ causes } dead \text{ if } loaded \\ shoot \text{ causes } \neg loaded \text{ if } loaded \\ load \text{ causes } loaded \end{cases}$$

shoot executable\_if true ¬walking if dead load executable\_if ¬loaded

- $s_1 = \{\neg dead, walking, loaded\}$ . Computer  $\Phi(shoot, s_1)$ 
  - Compute  $e(shoot, s_1) = \{dead, \neg loaded\}$
  - remaining from s<sub>1</sub>: {walking}

There are only two possibilities: Ø and {walking}

 X = {walking} then Cn({walking} ∪ e(shoot, s<sub>1</sub>)) = Cn({walking, dead, ¬loaded}) = {walking, dead, ¬loaded, ¬walking} this is inconsistent

X = Ø then Cn(Ø ∪ e(shoot, s<sub>1</sub>)) = Cn({dead, ¬loaded}) = {dead, ¬loaded, ¬walking} - this is consistent and complete set of fluent literals in the domain.

Answer:  $\Phi(shoot, s_1) = \{\{dead, \neg loaded, \neg walking\}\}$ 

45 / 198

 $D'_{y} = \begin{cases} shoot \text{ causes } dead \text{ if } loaded \\ shoot \text{ causes } \neg loaded \text{ if } loaded \\ shoot \text{ executable_if } holding_gun \\ alive \text{ if } \neg dead \end{cases}$ 

load **executable\_if** ¬loaded load **causes** loaded ¬walking **if** dead ¬alive **if** dead

 $s_1 = \{holding\_gun, \neg dead, walking, loaded, alive\}. \Phi(shoot, s_1) = ?$ 

• Compute  $e(shoot, s_1) = \{dead, \neg loaded\}$ 

remaining from s<sub>1</sub>: {alive, walking, holding\_gun}

Identify X remaining in s₁ s.t. s' = Cn(X ∪ e(shoot, s₁))
 If X = {holding\_gun} then Cn({holding\_gun} ∪ e(shoot, s₁)) = {holding\_gun, dead, ¬loaded, ¬alive, ¬walking} — this is consistent and complete set of fluent literals in the domain. So,

**Answer:**  $\Phi(shoot, s_1) = \{\{dead, \neg loaded, \neg walking, holding_gun, \neg alive\}\}$ 

▲ロト ▲圖ト ▲画ト ▲画ト 三直 - のへで

# $\mathcal{AL}$ : Non-Deterministic Domain

Consider a domain with actions a and b, three fluents f, g, h and the following statements

```
a, b executable_if true
a causes f
b causes \neg g if f
\neg h if g, f
\neg g if h, f
```

 $\Phi(a, \{g, h, \neg f\}) = \{\{f, g, \neg h\}, \{f, h, \neg g\}\}$ This domain is non-deterministic!  $\models$  in  $\mathcal{AL}$ 

How is  $\models$  defined in  $\mathcal{AL}$ ?

イロト イヨト イヨト イヨト

 $\models \mathsf{in} \ \mathcal{AL}$ 

How is  $\models$  defined in  $\mathcal{AL}$ ?

Need to consider  $\Phi(a, \omega)$  where  $\omega$  is a set of states!

- a is executable in ω if a is executable in every u ∈ ω
   Notation: Φ(a, s) = Ø when a is not executable in s.
- For a set of states ω,

$$\Phi(a,\omega) = \begin{cases} \bigcup_{u \in \omega} \Phi(a,u) \text{ if } \forall u \in \omega. [\Phi(a,u) \neq \emptyset] \\ \\ \emptyset \text{ otherwise} \end{cases}$$

 $\models$  in  $\mathcal{AL}$ 

#### Let $\alpha = [a_1; \ldots; a_n]$ and $\omega$ be a set of states.

• 
$$\widehat{\Phi}(\alpha, \emptyset) = \emptyset$$
  
•  $\widehat{\Phi}([], \omega) = \omega$   
•  $\widehat{\Phi}([a, \beta], \omega) = \bigcup_{u \in \Phi(a, \omega)} \widehat{\Phi}(\beta, u) \text{ if } \widehat{\Phi}(\beta, u) \neq \emptyset \text{ for every } u \in \widehat{\Phi}(\beta, u).$ 

 $(D, I) \models I$  after  $\alpha$  iff  $\widehat{\Phi}(\alpha, s_0) \neq \emptyset$  and I is true in every  $u \in \widehat{\Phi}(\alpha, s_0)$ 

## Sensing Actions

#### Disarming a Bomb

A robot needs to disarm a bomb. He does not know whether the tip of the bomb was locked or not. Looking at it will help!



Image: 1 million of the second sec

· · · · · · · · ·

# Sensing Actions

#### Disarming a Bomb

A robot needs to disarm a bomb. He does not know whether the tip of the bomb was locked or not. Looking at it will help!



locked or ¬locked

disarm the bomb when ¬locked => bomb will explode disarm the bomb when locked => disarmed

### Sensing Actions

- I do not change the state of the world
- Change the beliefs (knowledge) of the reasoner
- Ineeded for planning and reasoning with incomplete information

4 3 > 4 3 >

# Sensing Actions

#### Disarming a Bomb

A robot needs to disarm a bomb. He does not know whether the tip of the bomb was locked or not. Looking at it will help!



(日) (同) (三) (三)

### Sensing Actions

- do not change the state of the world (the robot looking at the tip does not change anything in the physical world!)
- Change the beliefs (knowledge) of the reasoner (the robot looking at the tip changes its knowledge!)
- needed for planning and reasoning with incomplete information (initially, the robot does not know whether or not the tip is locked).

C. Baral and T. C. Son (ASU & NMSU)

# $\mathcal{AS}$ : $\mathcal{AL}$ with Sensing Actions — Syntax

#### action determines fluent

(5)

Intuition: execution of action allows the reasoner to know the value of fluent.

- look determines locked
- look\_at\_departure\_screen determines gate\_of\_flight<sub>X</sub>

(might use multi-value fluent)

### Action Theory

An action theory in  $\mathcal{AS}$  is a pair (D, I) where D consists of statements of the form (1)-(2), (4), and (5) and I consists of statements of the form (3).

## $\mathcal{AS}$ : $\mathcal{AL}$ with Sensing Actions — Semantics

Define the transition function  $\Phi$ . What is a state and what is a transition?

# $\mathcal{AS}$ : $\mathcal{AL}$ with Sensing Actions — Semantics

# Define the transition function $\Phi$ . What is a state and what is a transition?

Intuition: when an agent has incomplete information

- its belief consists of a number of possible states that the agent believes it might be in.
- execution of a sensing action will help the agent to shrink the set of possible states.
- execution of a non-sensing action will create transition between set of possible states.

# $\mathcal{AS}$ : $\mathcal{AL}$ with Sensing Actions — Semantics Define the transition function $\Phi$ .

#### Intuition: when an agent has incomplete information

- its belief consists of a number of possible states that the agent believes it might be in.
- execution of a sensing action will help the agent to shrink the set of possible states.
- execution of a non-sensing action will create transition between set of possible states.



# $\mathcal{AS}$ : $\mathcal{AL}$ with Sensing Actions — Semantics Define the transition function $\Phi$ .

### Intuition: when an agent has incomplete information

- its belief consists of a number of possible states that the agent believes it might be in.
- execution of a sensing action will help the agent to shrink the set of possible states.
- execution of a non-sensing action will create transition between set of possible states.



## $\mathcal{AS}$ : States and Transitions

For a domain D in  $\mathcal{AS}$ ,

- (state): A k-state is a pair (s, Σ) where s is a state and Σ is a set of states in D.
- $\langle s, \Sigma \rangle$  is consistent if  $s \in \Sigma$ .
- An action *a* is executable in  $(s, \Sigma)$  if it is executable in *s*.
- $\varphi$  is known to be true in  $\langle s, \Sigma \rangle$  if  $\varphi$  is true in every  $u \in \Sigma$ .
  - if a is a non-sensing action:
     Φ<sup>s</sup>(a, ⟨s,Σ⟩) = {⟨s',Σ'⟩ | s' ∈ Φ(a,s), Σ' = ⋃<sub>u∈Σ</sub> Φ(a, u)} where
     Φ(a, s) is defined as in AL domains
     if a is a sensing action:

If a is a sensing action:  

$$\Phi^{s}(a, \langle s, \Sigma \rangle) = \{ \langle s, \Sigma' \rangle \mid \Sigma' = \{ u \in \Sigma \mid u \sim_{f} s \} \} \text{ where } u \sim_{f} s \text{ iff}$$

$$f \in u \cap s \text{ or } \neg f \in u \cap s.$$
$\mathcal{AS}$ : Example

#### Disarming a Bomb

 $D_b = \begin{cases} disarm causes exploded if \neg locked \\ disarm causes disarmed if locked \\ disarm causes \neg exploded if locked \\ turn causes \neg locked if locked \\ turn causes locked if \neg locked \\ disarmed if exploded \\ \neg locked if exploded \\ look determines locked \end{cases}$ 

$$I_b = \begin{cases} i \\ i \end{cases}$$

initially ¬disarmed initially ¬exploded

locked unknown

• • = • • = •

**Goal:** *disarmed*, ¬*exploded* 

## $\models \mathsf{in} \ \mathcal{AS}$

Define  $\widehat{\Phi}$  in the same way as in  $\mathcal{AL}$  but need branches

#### Conditional Plan

- An empty sequence of action, denoted by [], is a conditional plan.
- If a is an action then a is a conditional plan.
- If α<sub>1</sub>,..., α<sub>n</sub> are conditional plans and φ<sub>j</sub>'s are mutual exclusive conjunctions of fluent literals then the following is a conditional plan.
   Case

 $\varphi_1 \to \alpha_1$  $\dots$  $\varphi_n \to \alpha_n$ Endcase

- If  $\alpha_1$  and  $\alpha_2$  are conditional plans then  $\alpha_1$ ;  $\alpha_2$  is a conditional plan.
- Solution Nothing else is a conditional plan.

(日) (同) (三) (三)

 $\models$  in  $\mathcal{AS}$ 

#### For a set of states $\omega$ , and a case plan $\alpha$ :

 $\alpha = \mathbf{Case}$   $\varphi_1 \to \alpha_1$   $\cdots$   $\varphi_n \to \alpha_n$ Endcase

$$\hat{\Phi}(\alpha, \sigma) = \begin{cases} \hat{\Phi}(\alpha_i, \sigma) \text{ if } \varphi_i \text{ is known to be true in } \sigma \\ \\ \emptyset \text{ if there exists no } i \text{ s.t. } \varphi_i \text{ is known to be true in } \sigma \end{cases}$$

(日) (同) (三) (三)

## $\mathcal{AS}$ : Example

The set of states of  $D_b$ :

$$\begin{array}{ll} s_1 = \{\neg \textit{disarmed}, \neg \textit{locked}, \neg \textit{exploded}\} & s_3 = \{\textit{disarmed}, \neg \textit{locked}, \neg \textit{exploded}\} \\ s_2 = \{\neg \textit{disarmed}, \textit{locked}, \neg \textit{exploded}\} & s_4 = \{\textit{disarmed}, \textit{exploded}, \neg \textit{locked}\} \\ s_5 = \{\textit{disarmed}, \textit{locked}, \neg \textit{exploded}\} & s_6 = \{\textit{disarmed}, \textit{locked}, \textit{exploded}\} \\ s_7 = \{\textit{exploded}, \neg \textit{disarmed}, \neg \textit{locked}\} & s_8 = \{\textit{locked}, \textit{exploded}, \neg \textit{disarmed}\} \\ \end{array}$$

 $\Sigma_0 = \{s_1, s_2\}$  — two initial *k*-states representing the beliefs of the robot:  $\langle s_1, \Sigma_0 \rangle$  and  $\langle s_2, \Sigma_0 \rangle$ 

execution of look in the initial k-state results in

 $\langle s_1, \{s_1\} 
angle$  and  $\langle s_2, \{s_2\} 
angle$ 

which means

- if the real state of the world is *s*<sub>1</sub>, then execution *look* will help the robot knows that the tip is *¬locked*.
- if the real state of the world is s<sub>2</sub>, then execution *look* will help the robot knows that the tip is *locked*.

イロト イポト イヨト イヨト

## Non-Deterministic Actions

#### Example

Flipping a coin results in head or tail  $(\neg head)$ .

#### action maychange literal<sub>1</sub> | $\cdots$ | literal<sub>n</sub>

Intuition: execution of action results in one of the possibilities  $literal_1, \ldots, literal_n$  with the assumption that  $literal_1, \ldots, literal_n$  are mutual exclusive.

- flip maychange head | tail
- shoot maychange dead | alive

(6)

What is a state? What is a transition?

- **4 ∃ ≻** 4

#### What is a state? Use k-state as in $\mathcal{AS}$ What is a transition?

Image: Image:

#### What is a state? Use k-state as in ASWhat is a transition? Define $\Phi^{s}(a, s)$ .

- Need only to specify transitions for non-deterministic actions!
- Below: theories without static causal laws.
- For theories with static causal laws, similar adaptation is needed.

What is a state? Use k-state as in ASWhat is a transition? Define  $\Phi^{s}(a, s)$ .

- Need only to specify transitions for non-deterministic actions!
- Below: theories without static causal laws.

• For theories with static causal laws, similar adaptation is needed. Assume that

a maychange  $l_1 \mid \cdots \mid l_n$ 

Define  $e_i = I_i \cup \{\overline{I_j} \mid j \neq i, 1 \leq j \leq n\}$  ( $\overline{I}$  is the negation of I). For each state s, let  $\Omega(s) = \{s \setminus \overline{e_i} \cup e_i \mid i = 1, ..., n\}$ .

• if a is executable in  $\langle s, \Sigma \rangle$  then

$$\Phi^{s}(a, \langle s, \Sigma \rangle) = \{ \langle s \setminus \overline{e_{i}} \cup e_{i}, \bigcup_{u \in \Sigma} \Omega(u) \rangle \mid i = 1, \dots, n \}$$

A k-state in Φ<sup>s</sup>(a, ⟨s, Σ⟩): represents a possibility.
otherwise, Φ<sup>s</sup>(a, ⟨s, Σ⟩) = Ø.

▲ロト ▲圖ト ▲画ト ▲画ト 三直 - のへで

 $\mathcal{AS}^n$ : Example

Consider the action

*flip* **maychange** *head* | ¬*head* 

and the current state of the world  $s = \{head\}$ . Assume that the agent has complete information about the world. The *k*-state representing this situation is  $\langle \{head\}, \{\{head\}\} \rangle$ . Execution of *flip* in this *k*-state results to

 $\{\langle \{head\}, \{\{head\}, \{\neg head\}\}\rangle, \langle \{\neg head\}, \{\{head\}, \{\neg head\}\}\rangle\}$ 

 $\models$  in  $\mathcal{AS}^n$ 

Defined as in  $\mathcal{AS}$ .

C. Baral and T. C. Son (ASU & NMSU)

▶ < ≧ ▶ ≧ つ < ⊂ IJCAI 2019 61 / 198

イロト イヨト イヨト イヨト

## GOLOG

## GOLOG: logic programming language for dynamic domains [Levesque et al. (1997)]. Motivations:

- Ease the development of control programs of dynamic domains (high level controllers for robots, intelligent software agents, etc.)
- Provide a means for reasoning about complex actions.

**Note**: The language has been extended to ConGolog [De Giacomo et al. (2000)] to allow concurrency, interrupts, concurrency with priorities, and concurrent iteration.

- 4 週 ト - 4 三 ト - 4 三 ト

## GOLOG: Syntax

Action theories are written in a sorted first-order language with finite domains, e.g., in the **block domain**, *block* is a sort with domain, say,  $\{a, b, c\}$ ; *on* is a binary fluent of the sort *block* × *block*; and *pickup* is an unary action of the sort *block*, etc.

Program: *a* is an action,  $\phi$  is a formula *p* and *q* are programs

- Primitive: a
- Test:  $\phi$ ?
- Sequence: *p*; *q*
- Non-deterministic choice of actions:  $p \mid q$
- Conditional: if  $\phi$  then p else q endif
- While-loop: while  $\phi$  then p endWhile
- Procedure: proc p endProc
- Non-deterministic choice of arguments:  $\pi(X, p)$

are programs.

## GOLOG: Example

Consider the block world domain with

- constants (of the sort block): a, b, c, d, e
- actions such as putdown(x), pickup(x), stack(x, y), unstack(x, y), etc.
- Iluents such as on Table(x), on(x, y), clear(x), etc.

#### Some GOLOG programs

```
make_on(x, y): makeClear(x);
  on Table(x) \wedge clear(y)
if
then [pickup(x); stack(x, y)]
      [makeClear(y); get(x);
else
       stack(x, y)]
```

makeClear(x) : clear(x)? |  $\neg clear(x)$ ? cleanHand: while  $\neg clear(x)$  then  $\pi(y, z, [(clear(y) \land$  $above(z, x) \land on(y, z))?;$ unstack(y, z); putdown(y)])endWhile

endif

• cleanHand: if  $\neg$ handEmpty then  $\pi(x, [holding(x)?; putdown(x)])$  endif

• get(x): if on Table(x) then pickup(x)else  $\pi(y, [on(x, y)?, unstack(x, y)])$  endif

C. Baral and T. C. Son (ASU & NMSU)

## GOLOG: Another Example

Delevator consists of

```
up(N) causes cFloor(N)

down(N) causes cFloor(N)

turnoff(N) causes \neg on(N)

open causes opened

close causes \neg opened

cFloor(M) if \neg cFloor(N)
```

up(N) executable\_if cFloor(M),  $\neg opened (M < N)$ down(N) executable\_if cFloor(M),  $\neg opened (M>N)$ turnoff(N) executable\_if cFloor(N)

1	( (go_floor(N)	:	cFloor(N) up(N) down(N))
	(serve(N)	go_floor(N); turnoff(N); open; close)	
<i>S</i> = {	(serve_a_floor	:	$\pi(N, (on(N)?; serve(N)))$
	(park	:	<pre>if cFloor(0) then open else [down(0); open])</pre>
	(control	:	[while $\exists N.\{0,\ldots,k\}$ [on(N)] do serve_a_floor]; park)
	( (control	:	[while $\exists N.\{0,\ldots,k\}$ [on(N)] do serve_a_floor]; park)

## **GOLOG:** Semantics

GOLOG programs are interpreted with respect to traces of the form  $s_0, a_0, s_1, a_1, \ldots, a_{n-1}, s_n$  where  $s_{i+1} \in \Phi(a, s_i)$  for every  $i = 0, \ldots, n-1$ .

 $s_0, a_0, s_1, a_1, \ldots, a_{n-1}, s_n$  is a trace for a GOLOG program  $\delta$  if

- $\delta = a$  and a is an action, n = 1 and  $a_0 = a$ ;
- $\delta = \phi$ ?, n = 0 and  $\phi$  holds in  $s_0$ ;
- δ = δ<sub>1</sub>; δ<sub>2</sub>, and there exists an *i* such that s<sub>0</sub>a<sub>0</sub>...s<sub>i</sub> is a trace of δ<sub>1</sub> and s<sub>i</sub>a<sub>j</sub>...s<sub>n</sub> is a trace of δ<sub>2</sub>;
- $\delta = \delta_1 \mid \delta_2$ , and  $s_0 a_0 \dots a_{n-1} s_n$  is a trace of  $\delta_1$  or  $\delta_2$ ;
- δ = if φ then δ<sub>1</sub> else δ<sub>2</sub> endif, and s<sub>0</sub>a<sub>0</sub>...a<sub>n-1</sub>s<sub>n</sub> is a trace of δ<sub>1</sub> if φ holds in s<sub>0</sub> or s<sub>0</sub>a<sub>0</sub>...a<sub>n-1</sub>s<sub>n</sub> is a trace of δ<sub>2</sub> if ¬φ holds in s<sub>0</sub>;
- . . .

イロト イポト イヨト イヨト 二日

## Action Languages and Related Approaches

Other approaches to reasoning about actions and changes:

- situation calculus [McCarthy and Hayes (1969)]
- event calculus [Kowalski and Sergot (1986)]
- fluent calculus [Thielscher (2000)]
- STRIPS [Fikes and Nilson (1971)]
- PDDL [Ghallab et al. (1998)]: de facto language for planning systems

AL vs. PDDL (mostly a 1-1 correspondence, difference in static causal laws)

#### Driving to the airport domain in PDDL representation

```
(define (domain airport)
 (:predicates (at ?x ?y)
      (location ?x) (person ?p) (car ?c))
 (:action drive :parameters (?x ?y)
      :precondition (and (location ?x) (location ?y)
        (person ?p) (at ?p ?x) (car ?c) (at ?c ?x))
      :effect (and (at ?c ?y) (at ?p ?y)
        (not (at ?c ?x)) (not (at ?p ?x)))))
```

#### Problem: $\delta_a$ and Goal in PDDL representation

3

イロン イヨン イヨン イヨン

AL vs. PDDL (mostly a 1-1 correspondence, difference in static causal laws)

#### Driving to the airport domain in PDDL representation

```
(define (domain airport)
  (:predicates (at ?x ?y)
      (location ?x) (person ?p) (car ?c))
  (:action drive :parameters (?x ?y)
      :precondition (and (location ?x) (location ?y)
        (person ?p) (at ?p ?x) (car ?c) (at ?c ?x))
      :effect (and (at ?c ?y) (at ?p ?y)
        (not (at ?c ?x)) (not (at ?p ?x)))))
```

#### in $\mathcal{AL}$

Probler drive(home, airport) executable\_if at(john, home), at(car, john) (defin\_drive(home, airport) causes at(john, airport), at(car, airport) (:obje\_drive(airport, home) executable\_if at(john, airport), at(car, airport) (:init\_drive(airport, home) causes at(john, home), at(car, home) -at(john, airport) if\_at(john, home) (:goal ¬at(car, airport) if\_at(car, home) -at(john, home) if\_at(john, airport) -at(john, home) if\_at(car, airport) AL vs. PDDL (mostly a 1-1 correspondence, difference in static causal laws)

#### Driving to the airport domain in PDDL representation

```
(define (domain airport)
  (:predicates (at ?x ?y)
      (location ?x) (person ?p) (car ?c))
  (:action drive :parameters (?x ?v)
      in AL
      initially at(john, home)
      initially at(car, home)
```

#### Problem: $\delta_a$ and Goal in PDDL representation

э.

・ロト ・ 日 ・ ・ ヨ ト ・ ヨ ト ・

## $\mathcal{AL} \text{ vs. } \mathsf{PDDL}$

$\mathcal{AL}$	PDDL
Action	
Fluent	Predicate
Conditional Effect	$\checkmark$
Executability condition	Precondition
Static causal law (allow cyclic)	Defined fluent or axiom
	(no cyclic)
Ground Instantiations	Typed Variables
(Variables: shorthand)	

▶ < ≧ ▶ ≧ ∽ < < IJCAI 2019 69 / 198

イロト イヨト イヨト イヨト

## $\mathcal{AL} \text{ vs. } \mathsf{PDDL}$

$\mathcal{AL}$	PDDL
Action	
Fluent	Predicate
Conditional Effect	
Executability condition	Precondition
Static causal law (allow cyclic)	Defined fluent or axiom
	(no cyclic)
Ground Instantiations	Typed Variables
(Variables: shorthand)	

#### Notes

 Dealing directly with static causal laws is advantageous [Thiebaux et al. (2003)].

② Not many planners deal with static causal laws directly.

## $\mathcal{AL}$ vs. PDDL

Example of cyclic static causal laws in  $\mathcal{AL}$ :

• A door is either closed or opened:

door\_opened if ¬door\_closed door\_closed if ¬door\_opened

• John is either at home or his office:

at\_home if  $\neg$ at\_office at\_office if  $\neg$ at\_home

- Defined fluents are often not allowed to occur in effects of actions in some PDDL specifications.
- PDDL has been extended with other features (e.g., sensing actions, actions with durations)

#### Overview: a brief history of action languages (20 mnt - Chitta)

- 2 Action languages in single agent environments (70 mnt Son)
  - ullet The action language  $\mathcal{A}_{\mathsf{I}}$  state, and transition function
  - AL: A+ static causal laws, non-deterministic and sensing actions
     GOLOG
  - Action languages: related approaches and planning

# Action languages and causality (30 mnt - Chitta) Pearl's do-calculus

- Action languages in multi-agent environments (60 mnt Son)
  - mA\*, Kripke structure, update models, and transition function
    mA\* in epistemic planning

5 Action languages in commonsense reasoning (18 mnt - Chitta)

- Commonsense reasoning and actions
- Acquiring knowledge about actions

Open challenges, conclusion, and discussion (12 mnt - Chitta)

イロト イポト イヨト イヨト

#### Excerpts from Book of Why?

Judea Pearl, Dana Mackenzie - The book of why\_ the new science of cause and effect-Basic Books (2018).pdf (page 15 of 402)

Side by side with this diagrammatic "language of knowledge," we also have a symbolic "language of queries" to express the questions we want answers to. For example, if we are interested in the effect of a drug (D) on lifespan (L), then our query might be written symbolically as:  $P(L \mid do(D))$ . In

other words, what is the probability (*P*) that a typical patient would survive *L* years if made to take the drug? This question describes what epidemiologists would call an *intervention* or a *treatment* and corresponds to what we measure in a clinical trial. In many cases we may also wish to compare  $P(L \mid do(D))$  with  $P(L \mid do(not-D))$ ; the latter describes patients denied treatment, also called the "control" patients. The *do*-operator signifies that we are dealing with an intervention rather than a passive observation; classical statistics has nothing remotely similar to this operator.

. . . . . . . .

Image: Image:

### Excerpts from Book of Why?

#### Judea Pearl, Dana Mackenzie - The book of why\_ the new science of cause and effect-Basic Books (2018).pdf (page 15 of 402)

Mathematically, we write the observed frequency of Lifespan *L* among patients who voluntarily take the drug as  $P(L \mid D)$ , which is the standard conditional probability used in statistical textbooks. This expression stands for the probability (*P*) of Lifespan *L* conditional on seeing the patient take Drug *D*. Note that  $P(L \mid D)$  may be totally different from  $P(L \mid do(D))$ . This difference between seeing and doing is fundamental and explains why we do not regard the falling barometer to be a cause of the coming storm. Seeing the barometer fall increases the probability of the storm, while forcing it to fall does not affect this probability.

This confusion between seeing and doing has resulted in a fountain of paradoxes, some of which we will entertain in this book. A world devoid of  $P(L \mid do(D))$  and governed solely by  $P(L \mid D)$  would be a strange one indeed. For example, patients would avoid going to the doctor to reduce the probability of being seriously ill; cities would dismiss their firefighters to reduce the incidence of fires; doctors would recommend a drug to male and female patients but not to patients with undisclosed gender; and so on. It is hard to believe that less than three decades ago science did operate in such a world: the *do*-operator did not exist.

(日) (同) (三) (三)

## Simpson's Paradox

#### The following data is given

Gender	Action	Recovered?	Did not	Probability of
			recover	recovery
Male	Took Drug	18	12	0.6
Male	Did not take drug	7	3	0.7
Female	Took Drug	2	8	0.2
Female	Did not take drug	9	21	0.3

Based on the probability calculated you can say that both male and female, the probability of recovery is high if they did not take the drug.

### Simpson's Paradox

Based on the probability calculated you can say that both male and female, **the probability of recovery is high if they did not take the drug**.

Now if we did not have the gender data, we would have to reconstruct the table.

Action	Recovered?	Did not recover	Probability of
			recovery
Took Drug	20	20	0.5
Did not take drug	16	24	0.4

Based on the new probability calculated you can say that both male and female, **the probability of recovery is high if they took the drug**. So the outcome seems to change based on us knowing the gender of the collected statistics.

- 4 同 6 4 日 6 4 日 6

## Shortcoming of traditional conditional probability

X (Treatment)	Y (Recovered)	Probability
Т	Т	0.25
Т	F	0.25
F	Т	0.25
F	F	0.25

Consider the above joint probability distribution.

- We can calculate *P*(*Recovery* | *Treatment*).
- But is that the right way to decided whether treatment should be given or not.
- Pearl proposes to use *P*(*recover* | **do**(*given treatment*)).

We will show how that value may be different depending on what kind of causal model leads to the above probability distribution.

## Probabilistic Causal Model

- There are two kinds of variables in this model:
  - Exogenous (Ex : u1, u2): external to the system
  - Endogenous (Ex : x, y): internal to the system
- Probabilistic Causal Model is a directed acyclic graph. The value of a node in this graph is defined by a function whose input are its parents. Exogenous variables have no parents and have a probability associated with each of them. All exogenous variables are considered independent of each other.

## Two Causal Models: X (given treatment, Y (recovered)





u1 and u2 are variables that determine x = u3and yx = u1; y = u2Note: u4 may be some generic condition





## Joe took the treatment and did not survive

"Did the treatment cause this"

(Had he not taken the treatment would he have survived)



 $\begin{array}{l} P(Y{=}T \mid \textbf{do}(X{=}F)){=}0\\ Treatment \ does \ not \ cause \ death. \end{array}$ 

## Rifleman Example: actions and counterfactuals

We observe that the prisoner died. What is the probability that the prisoner would be alive if A (on his own) did not shoot.

U	V	С	A	В	D	prob
Т	Т	Т	Т	Т	Т	p*q
Т	F	T	Т	Т	Т	p*(1-q)
F	Т	F	Т	F	Т	(1-p)*q
F	F	F	F	F	F	(1-p)*(1-q)

Based on the observation we need to remove the last Row and readjust the probabilities: Let S = 1-(1-p)\*(1-q)





- U, V are exogenous: p(U) = P; p(V) = q
- A, B, C, D are endogenous
- U: Court orders execution
- V: Rifleman A is nervous
- C: Captain give order
- A: Rifleman A shoots
- B: Rifleman B shoots
- D: Prisoner dies

3 Steps to Computing Counterfactuals



## Computing Probabilities of Counterfactuals

The prisoner is dead. How likely is it that he would be dead if A had not shot.  $P(D_{-A} \mid D) = ?$ 



## Causal model (Formal): From Pearl's Slides

#### Causal model

$$M = \langle U, V, F \rangle$$
 or  $\langle U, V, F, P(u) \rangle$ 

- U background variables
- V endogenous variables

• 
$$F$$
 - set of functions  $\{U \times V \setminus V_i \rightarrow V_i\}$   
 $v_i = f_i(pa_i, u_i)$ 

#### Submodel

$$M_x = \langle U, V, F_x \rangle$$
 representing do(x)  
 $F_x$  = replaces equation for X with X=x

#### Actions and Counterfactuals

 $\begin{array}{l} Y_x(u) = \text{solution of } Y \text{ in } M_x \\ P(y \mid do(x)) \quad P(Y_x = y) \end{array}$
## Predicting the Effects of Policies





 $P(c \mid do(s)) =$ noncomputable

The AI Universe of "Actions'

## Predicting the Effects of Policies



 $P(c \mid do(s)) = noncomputable$ 

Smoking

Cancer

The AI Universe of "Actions'

## Predicting the Effects of Policies



C. Baral and T. C. Son (ASU & NMSU)

The AI Universe of "Actions'

## Needed: Algebra of Doing

#### Available: algebra of seeing

e.g., what is the chance it rained if we see the grass wet?  $P(rain \mid wet) =? \{P(wet \mid rain)\frac{P(rain)}{P(wet)}\}$ 

Needed: algebra of doing

e.g., what is he chance it rained if we make the grass wet?
P(rain | do(wet)) =? {P(rain)}

## Rules of Causal Calculus

• Rule 1: Ignoring observations (under conditions)

 $P(y \mid do{x}, z, w) = P(y \mid do{x}, w)$ 

- Rule 2: Action/observation exchange (under conditions) P(y | do{x}, do{z}, w) = P(y | do{x}, z, w)
  Rule 3: Ignoring actions (under conditions)
  - $P(y \mid do\{x\}, do\{z\}, w) = P(y \mid do\{x\}, w)$

・聞き ・ ほき・ ・ ほき

#### Pearl's do-calculus

## Derivation in Causal Calculus



 $P(c \mid do\{s\}) = \Sigma_t P(c \mid do\{s\}, t) P(t \mid do\{s\})$ 

$$= \Sigma_t P(c \mid do\{s\}, do\{t\}) P(t \mid do\{s\})$$

$$= \Sigma_t P(c \mid do\{s\}, do\{t\}) P(t \mid s)$$

$$= \sum_{t} P(c \mid do\{t\}) P(t \mid s)$$
  
=  $\sum_{t} \sum_{t} P(c \mid do\{t\}, s') P(s' \mid do\{t\}) P(t \mid s)$ 

$$= \sum_{s'} \sum_{t} P(c \mid do\{t\}, s') P(s' \mid do\{t\}) P(t \mid s)$$

$$= \sum_{s'} \sum_t P(c \mid t, s') P(s' \mid \frac{do\{t\}}{P(t \mid s)})$$

$$= \Sigma_{s'}\Sigma_t P(c \mid t, s')P(s')P(t \mid s)$$

Rule 2 
$$\rightarrow$$
  
Rule 2  $\rightarrow$   
Rule 3  $\rightarrow$   
Probability Axioms  
Rule 2  $\leftarrow$   
Rule 3  $\leftarrow$ 

**Probability Axioms** 

## Two directions of research

- Actual cause—Halpern and Pearl; and then many others
- Reasoning about cause and effect from Statistical data—Pearl's recent BIG focus

#### Pearl's do-calculus

## To recent books by Pearl

JUDEA PEARL WINNER OF THE TURING AWARD

## тне воок ог

#### α 🔶 β

WHY

THE NEW SCIENCE OF CAUSE AND EFFECT

# CAUSAL INFERENCE IN STATISTICS

A Primer

Judea Pearl Madelyn Glymour Nicholas P. Jewell



WILEY

IJCAI 2019 88 / 198

## Actual Causes

- **Simple definition:** Suppose *f* is observed, we can infer that *a* is an actual cause of *f* if *f* would not be true if *a* had not been true.
- Motivation:
  - Hume, Enquiry, 1748: "We may define a cause to be an object followed by another, ..., where, if the first object had not been, the second never had existed."
  - ► Lewis (1973): "x CAUSED y" if x and y are true, and y is false in the closest non-x-world.
- Problem with the simple definition:
  - NECESSITY
    - \* Ignores aspects of sufficiency (Production)
    - ★ Fails in presence of other causes (Over determination) COARSENESS
      - ★ Ignores structure of intervening mechanisms.
      - ★ Fails when other causes are preempted (Preemption)

• • = • • = •

## Pearl: Match is the cause here

Sufficiency (Production)



Observation: Fire broke out.

Question: Why is oxygen an awkward explanation? Answer: Because Oxygen is (usually) not sufficient P(Oxygen is sufficient) = P(Match is lighted) = low P(Match is sufficient) = P(Oxygen present) = high

C. Baral and T. C. Son (ASU & NMSU)

## Pearl: overdetermination

How the counterfactual test fails?



Observation: Dead prisoner with two bullets. Query: Was A a cause of death? Answer: Yes, A sustains D against B. Pearl: preemption How the counterfactual test fails?

#### Which switch is the actual cause of light? S1!



Deceiving symmetry: Light =  $S1 \lor S2$ 

IJCAI 2019 92 / 198

# The desert traveler: Enemy2 is the cause (Pearl; Pat Suppes)



IJCAI 2019 93 / 198

## Challenges to Halpern & Pearl (1)

- Batusov & Soutchanski (2018): "The ontological commitments of structural causal models resemble propositional logic, they have no objects, no relationships, no time, no support for quantified causal queries. Thus, causal models are too coarse to distinguish between enduring conditions and transitional events, providing only atomic propositions to model both.
- Moreover, causal models represent presence and absence of an event identically—by assigning a value to a propositional variable. Both of these deficiencies stem from the lack of a mechanism for modeling change over time."
- "In contrast to HP whose analysis is based on observing the end results of interventions, we do so by analyzing the dynamics which lead to the end results."

- 4 同 6 4 日 6 4 日 6

## Challenges to Halpern & Pearl (2)

(Beckers and Vennekens 2012)

- Assassin poisons Victims coffee, Victim drinks it and dies. If Assassin hadn't poisoned the coffee, Backup would have, and Victim would have died anyway. Victim would not have died if there had been no poison in the coffee.
  - HP designates Assassin as the actual cause of Dies.
- An engineer is standing by a switch in the railroad track. A train approaches in the distance. She flips the switch, so that the train travels down the left-hand track, instead of the right. Since the tracks reconverge up ahead, the train arrives at its destination all the same.
  - ► HP designates the flipping of the switch as a cause of the train arriving at its destination.
  - B & V feel that directing the train from one track to another that serves exactly the same purpose is not a cause of its arrival.

- 4 週 ト - 4 三 ト - 4 三 ト

## Reasoning about cause and effect from Statistical data

- **Basic idea:** How to reason about  $P(X \mid do(Y))$  when we have only statistical data
- Pearl proposes ways to do it if causal relations between X, Y and other related variables follow certain patterns.
  - Note: The exact functions connecting the variables need not be known. Only the arrows between the variables depicting the causal connections is enough.
- An example in the next few slides.



Graphical model on effects of new drug: Z-gender, X-drug usage, Y-recovery (Right: sets the drug usage in the population, results in the manipulated probability  $P_m$ )

$$P(Y = y \mid do(X = x)) = \Sigma_z P(Y = y \mid X = x, Z = z) P(Z = z)$$

This equation is called the adjustment formula.

Computes the association between X and Y for each z of Z then averages over those values.

The right hand side of the equation can be estimated directly from data, since it consists only of conditional probabilities, each of which can be computed by the filtering procedure! (Pearl's book).

**Note:** no adjustment is needed in a randomized controlled experiment since, in such a setting, the data are generated by a model which already possesses the structure of the figure on the right and hence,  $P = P_m$  regardless of any factors Z that affect Y.

C. Baral and T. C. Son (ASU & NMSU)

## Example



Sets the drug usage in the population, results in the manipulated probability  $P_m$ 

$$P_m(Y=y \mid X=x, Z=z) = P(Y=y \mid Z=z, X=x) \text{ and } P_m(Z=z) = P(Z=z)$$
 (7)

Z and X are d-separated in the modified model and are, therefore, independent under the intervention distribution.

This gives  $P_m(Z = z \mid X = x) = P_m(Z = z) = P(Z = z)$ So  $P(Y = y \mid do(X = x)) = P_m(Y = y \mid X = x)$  (by definition) and that leads to Equation (7).

IJCAI 2019 98 / 198

## Example

#### Some derivation:

$$P(Y = y \mid do(X = x)) = P_m(Y = y \mid X = x) \text{ (by definition)}$$
$$= \sum_z P_m(Y = y \mid X = x, Z = z)P_m(Z = z \mid X = x)$$
$$= \sum_z P_m(Y = y \mid X = x, Z = z)P_m(Z = z)$$

This implies

 $P(Y = y \mid do(X = x)) = \Sigma_z P(Y = y \mid X = x, Z = z) P(Z = z)$ 

(日) (周) (三) (三)

#### Overview: a brief history of action languages (20 mnt - Chitta)

- 2 Action languages in single agent environments (70 mnt Son)
  - ullet The action language  $\mathcal{A}$ , state, and transition function
  - AL: A+ static causal laws, non-deterministic and sensing actions
     GOLOG
  - Action languages: related approaches and planning
- Action languages and causality (30 mnt Chitta)
   Pearl's do-calculus
- Action languages in multi-agent environments (60 mnt Son)
  - mA\*, Kripke structure, update models, and transition function
    mA\* in epistemic planning

Action languages in commonsense reasoning (18 mnt - Chitta)

- Commonsense reasoning and actions
- Acquiring knowledge about actions

Open challenges, conclusion, and discussion (12 mnt - Chitta)

э.

## Motivations: from one to many

- Real-world: agents are rarely in isolation
- The presence of multiple agents provides a number of challenges in reasoning about actions and changes

• • = • • = •

### Motivations: from one to many

- Real-world: agents are rarely in isolation
- The presence of multiple agents provides a number of challenges in reasoning about actions and changes
  - there are issues that make sense only when considering in multi-agent environments
    - announcement actions: someone tells another about a property of the world (lying, misleading, truthful announcement)
    - ontic actions: the action that changes the world now have additional effects (creating false beliefs for someone); someone executes an action might not know the effects of the action!
  - all types of actions have effects on both knowledge and beliefs of agents implication: needs to deal with both knowledge and beliefs of agents!

3

くぼう くほう くほう

## Motivations: from one to many

- Real-world: agents are rarely in isolation
- The presence of multiple agents provides a number of challenges in reasoning about actions and changes
  - there are issues that make sense only when considering in multi-agent environments
    - announcement actions: someone tells another about a property of the world (lying, misleading, truthful announcement)
    - ontic actions: the action that changes the world now have additional effects (creating false beliefs for someone); someone executes an action might not know the effects of the action!
  - all types of actions have effects on both knowledge and beliefs of agents implication: needs to deal with both knowledge and beliefs of agents!
- **Note**: Philosophers/logician discuss reasoning about knowledge and beliefs of an agent in multi-agent environment for centuries!

Most earlier frameworks are analogous to transition systems for single-agent environment.

## Motivations: from one to many in planning

Single-Agent Planning	Multi-Agent Planning	
Deliberation process for	Generalization of the	
generating a plan that	single-agent planning	
transforms the state of the	problem to domains where	
world from an initial state	several agents plan and	
to a state satisfying a pre-	act together and have to	
defined goal	share resources, activities,	
	and goals	

э

(日) (周) (三) (三)

## Motivations

Single-Agent Planning	Multi-Agent Planning
Involves generating a plan (sequence of actions, con- ditional plan, etc.) for the agent to achieve a prede- fined goal given a problem specification	<ul> <li>involves coordinating the resources and activities of multiple "agents"</li> <li>is concerned with planning by (and for) multiple agents. It can involve agents planning for a common goal, an agent coordinating the plans (plan merging) or planning of others, or agents refining their own plans while negotiating over tasks or resources.</li> </ul>

*m*A: Action Language for Multi-Agent Domains

3. 3

► < Ξ >

## A Guiding Example

Three agents, A, B, and C, are in a room. In the middle of the room there is a box containing a coin.

- None of the agents knows the state of the coin;
- The box is locked and one needs a key to open it; agent A has the key of the box and everyone knows this;
- To learn whether the coin lies heads or tails up, an agent can peek into the box, if the box is open;
- If one agent is looking at the box and a second agent peeks into the box, then the first agent will conclude that the second agent knows the status of the coin; the first agent's knowledge about the coin does not change;
- Distracting causes that agent to not look at the box;
- Signaling causes such agent to look at the box;
- Announcing the state of the coin will make this a common knowledge among the agents that are listening.

・ロト ・ 日 ・ ・ ヨ ・ ・ ヨ ・ ・

## A Guiding Example



Planning Problem: A knows head/tail and B knows that A knows that the coin lies head/tail up and leaves C in the dark Solution: A Distracts C, A Signals B, A Opens box, A Looks inside Challenges: reasoning about knowledge/beliefs of other agents common knowledge—unlimited number of nested knowledge operator

< 回 ト < 三 ト < 三 ト

## A Guiding Example

- Representation and reasoning
  - Representing beliefs of agents about
    - ★ state of the world
    - $\star\,$  state of beliefs of agents
- Defining transition function between states: execution of actions changes
  - state of the world
  - state of beliefs of agents
- Implementation
  - Search algorithm for computing solutions
  - Heuristics



## Logic Language

- Intuition: Describe properties of the world
  - Fluents **F**

 $has_key(A)$   $open_box$ 

Fluent Formulae ( $f \in \mathcal{F}$ ):

 $\psi ::= \top \mid \perp \mid f \mid \psi_1 \lor \psi_2 \mid \psi_1 \land \psi_2 \mid \neg \psi \mid \psi_1 \Rightarrow \psi_2$ 

= nar

・ 同 ト ・ ヨ ト ・ ヨ ト

## Logic Language

- Intuition: Describe properties of the world
  - Fluents **F**

 $has_key(A)$   $open_box$ 

Fluent Formulae ( $f \in \mathcal{F}$ ):

 $\psi ::= \top \mid \perp \mid f \mid \psi_1 \lor \psi_2 \mid \psi_1 \land \psi_2 \mid \neg \psi \mid \psi_1 \Rightarrow \psi_2$ 

- Intuition: Agents and their Knowledge/Beliefs
  - Agents  $\mathcal{AG}$
  - Belief Formulae ( $f \in \mathcal{F}$ ,  $a \in \mathcal{AG}$ ):

 $\varphi ::= f \mid B_{\mathsf{a}}\varphi \mid \varphi_1 \land \varphi_2 \mid \neg \varphi \mid \varphi_1 \lor \varphi_2$ 

 $open\_box \land B_{bob}heads \land \neg B_{ann}open\_box$ 



- B<sub>a</sub> is a modal operator
- Depending on the context, we will read  $B_a \varphi$  as Agent *a knows*  $\varphi$ or Agent *a believes*  $\varphi$

э

► < ∃ ►</p>

## Logic Language

#### Group Formulae

How to express statements like

"Everyone knows/believes that the box is open"?

- Group Belief ( $\alpha \subseteq \mathcal{AG}$ ):  $E_{\alpha}\varphi$ 
  - ► E{bob,ann} heads
  - Intuition:  $E_{\alpha}\varphi \equiv \bigwedge_{a \in \alpha} \varphi$

э

A B F A B F

## Logic Language

#### Group Formulae

How to express statements like

"Everyone knows/believes that the box is open"?

- Group Belief ( $\alpha \subseteq \mathcal{AG}$ ):  $E_{\alpha}\varphi$ 
  - ► E{bob,ann} heads
  - Intuition:  $E_{\alpha}\varphi \equiv \bigwedge_{a \in \alpha} \varphi$
- Common Belief ( $\alpha \subseteq \mathcal{AG}$ ):  $C_{\alpha}\varphi$ 
  - C<sub>{ann,bob,tom}</sub> has\_key(bob)
  - Intuition:  $C_{\alpha}\varphi \equiv E_{\alpha}^{*}\varphi$

#### Definition

Language  $\mathcal{L}(\mathcal{AG}, \mathcal{F})$ 

・ロン ・四 ・ ・ ヨン ・ ヨン

## Semantics

• Traditional Propositional Logic: valuation

 $V: \mathcal{F} \rightarrow \{ \textit{true}, \textit{false} \}$ 

Let  $V_{\mathcal{F}}$  set of all valuations over F.

э

→ Ξ →

## Semantics

• Traditional Propositional Logic: valuation

$$V : \mathcal{F} \rightarrow \{ true, false \}$$

Let  $V_{\mathcal{F}}$  set of all valuations over F.

- Kripke Structure:  $M = \langle S, \pi, B_{a \in AG} \rangle$ 
  - $S \neq \emptyset$  set of worlds (denoted M[S])
  - $\pi: S \to V_{\mathcal{F}}$  (denoted  $M[\pi]$ )
  - ▶ For each  $a \in AG$ :  $B_a \subseteq S \times S$  (denoted M[a])

## Semantics

• Traditional Propositional Logic: valuation

$$V: \mathcal{F} \rightarrow \{ \textit{true}, \textit{false} \}$$

Let  $V_{\mathcal{F}}$  set of all valuations over F.

- Kripke Structure:  $M = \langle S, \pi, B_{a \in AG} \rangle$ 
  - $S \neq \emptyset$  set of worlds (denoted M[S])
  - $\pi: S \to V_{\mathcal{F}}$  (denoted  $M[\pi]$ )
  - ▶ For each  $a \in AG$ :  $B_a \subseteq S \times S$  (denoted M[a])
- State: (M, s) where
  - M is a Kripke structure
  - $s \in M[S]$  the "real" state of the world

э
Example



æ

<ロ> (日) (日) (日) (日) (日)





$$\begin{split} M[S] &= \{s_0, s_1\} \\ M[\pi](s_0) &= \begin{cases} heads \to true, & open \to false, \\ has\_key(A) \to true, & has\_key(B) \to false, \\ has\_key(C) \to false \end{cases} \\ M[\pi](s_1) &= \begin{cases} heads \to false, & open \to false, \\ has\_key(A) \to true, & has\_key(B) \to false, \\ has\_key(C) \to false \end{cases} \\ M[A] &= M[B] = M[C] = \{(s_0, s_0), (s_1, s_1), (s_0, s_1), (s_1, s_0)\} \end{split}$$

Entailment

- $(M, s) \models f$  iff  $M[\pi](s)(f) = true$
- $(M,s) \models \varphi_1 \land \varphi_2$  iff  $(M,s) \models \varphi_1$  and  $(M,s) \models \varphi_2$
- $(M, s) \models \neg \varphi$  iff  $(M, s) \not\models \varphi$
- $(M, s) \models B_a \varphi$  iff for all t such that  $(s, t) \in M[a]$  we have  $(M, t) \models \varphi$

- 4 週 ト - 4 三 ト - 4 三 ト -

Entailment

• 
$$(M, s) \models f$$
 iff  $M[\pi](s)(f) = true$ 

• 
$$(M,s) \models \varphi_1 \land \varphi_2$$
 iff  $(M,s) \models \varphi_1$  and  $(M,s) \models \varphi_2$ 

• 
$$(M, s) \models \neg \varphi$$
 iff  $(M, s) \not\models \varphi$ 

•  $(M,s) \models B_a \varphi$  iff for all t such that  $(s,t) \in M[a]$  we have  $(M,t) \models \varphi$ 



э

< 回 ト < 三 ト < 三 ト

# Semantics: Entailment Group Formulae

• 
$$(M,s) \models E_{\alpha} \varphi$$
 iff  $\forall a \in \alpha$  we have  $(M,s) \models B_{a} \varphi$ 

• 
$$(M, s) \models C_{\alpha} \varphi$$
 iff  $(M, s) \models E_{\alpha}^{k} \varphi$  for  $k \ge 0$   
•  $E_{\alpha}^{0} \varphi \equiv \varphi$   
•  $E_{\alpha}^{k+1} \equiv E_{\alpha}(E_{\alpha}^{k} \varphi)$ 

3

(日) (周) (三) (三)

# Semantics: Axioms

- K:  $(B_a \varphi_1 \land B_a (\varphi_1 \Rightarrow \varphi_2)) \Rightarrow B_a \varphi_2$
- **D**: ¬*B*<sub>a</sub>⊥
- 4:  $B_a \varphi \Rightarrow B_a B_a \varphi$
- 5:  $\neg B_a \varphi \Rightarrow B_a \neg B_a \varphi$
- **T**:  $B_a \varphi \Rightarrow \varphi$

э.

< 回 ト < 三 ト < 三 ト

## Semantics: Axioms

- K:  $(B_a \varphi_1 \land B_a (\varphi_1 \Rightarrow \varphi_2)) \Rightarrow B_a \varphi_2$
- **D**: ¬*B*<sub>a</sub>⊥
- 4:  $B_a \varphi \Rightarrow B_a B_a \varphi$
- 5:  $\neg B_a \varphi \Rightarrow B_a \neg B_a \varphi$
- **T**:  $B_a \varphi \Rightarrow \varphi$

Some typical axiomatic systems:

- KD45: Considered typical modeling of Beliefs
- KT45 (S5): Modeling of Knowledge

э.

• • = • • = •

# Semantics: Axioms

Implications on Kripke Structure M:

- KD45: All accessibility relations M[a] are
  - Serial: for each  $s \in M[S]$  there is a t such that  $(s, t) \in M[a]$
  - Transitive
  - Euclidean: for all  $(s, t) \in M[a]$  and  $(s, u) \in M[a]$  then  $(t, u) \in M[a]$
- S5: all accessiblity relations M[a] are
  - Reflexive
  - Symmetric
  - Transitive

э

Action Models A Logic of Communication and Change  $\mathcal{L}(\mathcal{AG}, \mathcal{F})$ -Substitution:

$$\{f\mapsto arphi \mid f\in \mathcal{F}, arphi\in \mathcal{L}(\mathcal{AG},\mathcal{F})\}$$

 $SUB_{\mathcal{L}}$  set of all  $\mathcal{L}(\mathcal{AG}, \mathcal{F})$ -Substitutions

An action occurrence could be **perceived** as different event by different agent.

э

通 ト イヨ ト イヨト

Action Models A Logic of Communication and Change

 $\mathcal{L}(\mathcal{AG},\mathcal{F})\text{-}\mathsf{Substitution}\text{:}$ 

$$\{f\mapsto arphi \mid f\in \mathcal{F}, arphi\in \mathcal{L}(\mathcal{AG},\mathcal{F})\}$$

 $SUB_{\mathcal{L}}$  set of all  $\mathcal{L}(\mathcal{AG}, \mathcal{F})$ -Substitutions

Action Model:  $\Sigma = \langle \Sigma, R_{a \in \mathcal{AG}}, pre, sub \rangle$  where

- Σ is a set of events
- for each  $a \in \mathcal{AG}$  we have that  $R_a \subseteq \Sigma imes \Sigma$
- pre :  $\Sigma \rightarrow \mathcal{L}(\mathcal{AG}, \mathcal{F})$  (preconditions)
- $sub: \Sigma \rightarrow SUB_{\mathcal{L}}$  (substitutions)

Action Instance:  $(\Sigma, e)$  with  $e \in \Sigma$ 

= nar

通 ト イヨ ト イヨ ト

# Action Models

#### Examples





2

イロト イヨト イヨト イヨト

# Action Models

Examples



B knows that e happens and everyone else knows that v happens.

# Updates

#### Given

*M* = ⟨*S*, π, *B*<sub>*a*∈*AG*</sub>⟩ Kripke Structure
Σ = ⟨Σ, *R*<sub>*a*∈*AG*</sub>, *pre*, *sub*⟩ Action Model

Jpdate of *M* by 
$$\Sigma$$
,  $M' = M \otimes \Sigma$ :  
•  $M'[S] = \{(s, e) \mid s \in M[S], e \in \Sigma, (M, s) \models pre(e)\}$   
•  $((s_0, e_0), (s_1, e_1)) \in M'[a]$  iff  
•  $(s_0, e_0) \in M'[S]$  and  $(s_1, e_1) \in M'[S]$   
•  $(s_0, s_1) \in M[a]$   
•  $(e_0, e_1) \in R_a$ 

•  $M'[\pi](s, e)(f) = true \text{ iff } f \mapsto \varphi \text{ in } sub(e) \text{ and } (M, s) \models \varphi$ 

3

< 回 ト < 三 ト < 三 ト

## Updates Example



## The Language $m\mathcal{A}^*$ : Why?

- Kripke structure is suitable for reasoning about knowledge and beliefs of multi-agents.
- Action model could be used for representing and reasoning about actions and changes

# The Language $mA^*$ : Syntax

Motivations

#### Back to the Example

Suppose that the agent **A** would like to know whether the coin lies heads or tails up. She would also like to let the agent **B** know that she knows this fact. However, she would like to keep this information secret from **C**.

- Distract C from looking at the box;
- Signal B to look at the box if B is not looking at the box;
- Open the box; and
- Peek into the box.

# The Language $m\mathcal{A}^*$ : Syntax

Motivations

Challenges:

• Solid logical foundations—model-theoretic, not amenable to implementation in a search-based planner

• DEL:

- Action Models are really more like action occurrences
- May require infinitely many conditional effects to account for all possible perceptions of the action
- Even when finite, Action Models may have to be big
  - ★ Extreme case: B has no idea about whether A performs open or peek; nor what A knows about B's perception of this; nor... ⇒ action model is infinite

#### Goal

 ${\sf Knowledge}\ {\sf Representation} = {\sf Representation} + {\sf Reasoning}$ 

# The Language $m\mathcal{A}^*$ : Syntax

Motivations

A has looked at the coin, while both B and C are distracted, and A can announce whether the coin lies heads up. However, only agents who are attentive could listen to what A says. Thus, the action occurrence can have different effects on the beliefs of the other agents—e.g., whether the agent is attentive to A.



 $m\mathcal{A}^*$ : Syntax **Basics** 

Language components:

- $\mathcal{AG}$  set of agent names—e.g., **A**, **B**, ...
- F set of fluents—e.g., heads, has\_key( $\mathbf{A}$ ), looking( $\mathbf{B}$ )
- A set of actions—e.g., open, signal(C)

< 回 > < 三 > < 三 > .

# The Language $m\mathcal{A}^*$ : Syntax

Actions



#### Intuition: action a jointly executed by agents $\boldsymbol{\alpha}$



Example: executable  $open\langle x \rangle$  if  $has\_key(x)$ executable  $signal(y)\langle x \rangle$  if  $looking(x) \land \neg looking(y)$ 

▲ロト ▲圖ト ▲画ト ▲画ト 三直 - のへで

# Actions

### Action Types:

• World Altering (ontic):  $a\langle \alpha \rangle$  causes  $\ell$  if  $\varphi$ 

```
signal(y)\langle x \rangle causes looking(y)
```

• Sensing:  $a\langle \alpha \rangle$  determines f

 $peek\langle x \rangle$  determines heads

• Annoucement: 
$$a\langle lpha 
angle$$
 announces  $arphi$ 

*shout\_head* $\langle x \rangle$  **announces** *head* 

#### Comparing to $\dashv$

- The set of agents is attached.
- Announcement action.

# The Language $m\mathcal{A}^*$ : Syntax Visibility



IJCAI 2019 128 / 198

3

(日) (同) (三) (三)

Syntax Visibility

#### Visibility of Action Effects:

• Full Observers: x observes  $a\langle \alpha \rangle$  if  $\varphi$ 

```
y observes open(x) if looking(y)
```

• Partial Observers: x aware\_of  $a\langle \alpha \rangle$  if  $\varphi$ 

y aware\_of  $peek\langle x \rangle$  if looking(y)

#### Oblivious

• • = • • = •

# Syntax Visibility

Action Type	Full Observers	Partial Observes	Oblivious
Ontic	$\checkmark$		$\checkmark$
Sensing	$\checkmark$	$\checkmark$	$\checkmark$
Announcement	$\checkmark$	$\checkmark$	$\checkmark$

æ

<ロ> (日) (日) (日) (日) (日)

## Syntax Domain

#### Domain

A  $mA^*$  domain is a collection of executability statements, action descriptions, and visibility statements.

Assumption: action domains are consistent, i.e., for each pairs of action descriptions

a causes f if  $\varphi$  a causes  $\neg f$  if  $\psi$ and each state (M, s) we have that  $(M, s) \not\models \varphi \land \psi$ .

#### Action Theory

A  $m\mathcal{A}^*$  theory is a pair (D, I) where D is a  $m\mathcal{A}^*$  domain and I is a collection of statements of the type

#### initially $\varphi$

C. Baral and T. C. Son (ASU & NMSU)

The AI Universe of "Actions'

IJCAI 2019 131 / 198

э.

イロト イポト イヨト イヨト

initially looking(A)initially  $C_{\{A,B,C\}}(looking(A))$ initially  $C_{\{A,B,C\}}(has\_key(A))$ initially  $C_{\{A,B,C\}}(\neg B_A heads \land \neg B_A \neg heads)$ 

э

< 回 ト < 三 ト < 三 ト

# The Language $mA^*$ : Transition Function

*Intuition:* Action language semantics typically based on a *transition function*:

 $\Phi$  : Action  $\times$  State  $\rightarrow 2^{State}$ 

Our goal:  $\Phi_D : AI \times S \to 2^S$  where:

- AI: set of all action instances
- S: set of all states (i.e., (M, s))

## The Language $mA^*$ : Transition Function Preliminary Definitions

## Frames of Reference: Given state (M, s) and action instance **a**: $Full(\mathbf{a}, M, s) = \{x \in AG \mid [x \text{ observes a if } \varphi], (M, s) \models \varphi\}$ $Part(\mathbf{a}, M, s) = \{x \in AG \mid [x \text{ aware_of a if } \varphi], (M, s) \models \varphi\}$ $Obl(\mathbf{a}, M, s) = AG \setminus (Full(\mathbf{a}, M, s) \cup Part(\mathbf{a}, M, s))$

Note: actions change the frame of reference of future actions;

• 
$$\mathbf{a} = peek\langle A \rangle$$

- (*M*, *s*)
- execution of  $signal(B)\langle A \rangle$  in (M, s) produces state (M', s') where

• 
$$Full(\mathbf{a}, M', s') = Full(\mathbf{a}, M, s)$$

• 
$$Part(\mathbf{a}, M', s') = Part(\mathbf{a}, M, s) \cup \{B\}$$

•  $Obl(\mathbf{a}, M', s') = Obl(\mathbf{a}, M, s) \setminus \{B\}$ 

▲□▶ ▲□▶ ▲□▶ ▲□▶ = ののの

# The Language $m\mathcal{A}^*$ : Transition Function Action Models

Ontic Actions: Intuition: two possible events

- **(** $\sigma$ **)** The action is seen by the agents
  - substitution needs to reflect the effects of the action
  - 2 for all agents in  $Full(\mathbf{a}, M, s)$
- **2**  $(\epsilon)$  The agents are unaware of the action
  - substitutions make no change
  - 2 for all agents in  $Obl(\mathbf{a}, M, s)$



# The Language $m\mathcal{A}^*$ : Transition Functions Example Ontic





pre: has\_key(A)

pre: T



C. Baral and T. C. Son (ASU & NMSU)

The AI Universe of "Actions

IJCAI 2019 136 / 198

# Transition Function

Sensing Actions

Sensing Actions: Intuition: sensing fluent f, three possible events

- **(** $\sigma$ **)** the action is seen and f is true
  - For all agents in  $Full(\mathbf{a}, M, s)$
- 2  $(\tau)$  the action is seen and f is false
  - For all agents in  $Full(\mathbf{a}, M, s)$
- ( $\sigma$  and  $\tau$ )
  - For all agents in Part(a, M, s)
- $\bullet$  ( $\epsilon$ ) the agents are unaware of the action
  - For all agents in Obl(a, M, s)

Since no change of world, all substitutions are empty.

## The Language $m\mathcal{A}^*$ : Transition Function Sensing Actions



# Transition Function

Example Sensing: peek(A)



# The Language $m\mathcal{A}^*$ : Transition Function

Announcement Actions

Announcement Actions: Intuition: announcing formula  $\varphi$ , three possible events

**(** $\sigma$ **)** the action is seen and  $\varphi$  is true

• For all agents in  $Full(\mathbf{a}, M, s)$ 

- 2 ( au) the action is seen and arphi is false
  - For all agents in Full(a, M, s)

( $\sigma$  and  $\tau$ )

• For all agents in  $Part(\mathbf{a}, M, s)$ 

- ${f O}$  ( $\epsilon$ ) the agents are unaware of the action
  - For all agents in  $Obl(\mathbf{a}, M, s)$

Since no change of world, all substitutions are empty.

э

# The Language $mA^*$ : Transition Function

Example Announcement: whisper\_head



# The Language $mA^*$ : Transition Function

Let  $(\mathcal{E}, E_d)$  be the action models for action occurrence **a** in (M, s). Temptation: Define

$$\Phi_D(\mathbf{a}, M, s) = \bigcup_{e \in E_d} (M, s) \otimes (\mathcal{E}, e)$$

But...

通 ト イヨ ト イヨト
# Transition Function

Problem: False Beliefs



C. Baral and T. C. Son (ASU & NMSU)

IJCAI 2019 143 / 198

3

(日) (同) (三) (三)

# The Language $mA^*$ : Transition Function

Challenge: False beliefs

$$(M, s) \models \phi$$
 but  $(M, s) \models B_i \neg \varphi$ 

**Repair**: Given a Kripke structure M and a set of agents S, Repair $(M, S, \varphi)$ : for each  $i \in S$  and  $s_1$  such that  $(M, s_1) \models B_i \neg \varphi$ 



# The Language $mA^*$ : Transition Function

Execute action instance  $\mathbf{a} = \mathbf{a} \langle \alpha \rangle$  in (M, s) with action model  $(E, E_D)$ 

- **1** action executable in (M, s) if preconditions are satisfied
- **2** For ontic actions  $\Phi_D(\mathbf{a}, M, s) = (M, s) \otimes (E, E_D)$
- So For sensing actions where  $(M, s) \models f$  and f is sensed  $\Phi_D(\mathbf{a}, M, s) = Repair(M, Full(\mathbf{a}, M, s), f) \otimes (E, E_D)$
- For sensing actions where  $(M, s) \models \neg f$  and f is sensed  $\Phi_D(\mathbf{a}, M, s) = Repair(M, Full(\mathbf{a}, M, s), \neg f) \otimes (E, E_D)$
- (a) for announcement action for  $\varphi$  then  $\Phi_D(\mathbf{a}, M, s) = Repair(M, Full(\mathbf{a}, M, s), \varphi) \otimes (E, E_D)$

э.

イロト 不得下 イヨト イヨト

# The Language $m\mathcal{A}^*$ : Transition Function Example



C. Baral and T. C. Son (ASU & NMSU)

The AI Universe of "Actions

IJCAI 2019 146 / 198

э

• = • •

## Action Properties: Ontic Actions

a causes  $\ell$  if  $\varphi$ 

- if  $x \in Full(\mathbf{a}, M, s)$  and  $(M, s) \models B_x \varphi$  then  $\Phi_D(\mathbf{a}, M, s) \models B_x \ell$
- if  $x \in Obl(\mathbf{a}, M, s)$  and  $(M, s) \models B_x \eta$  then  $\Phi_D(\mathbf{a}, M, s) \models B_x \eta$
- if  $x \in Full(\mathbf{a}, M, s)$  and  $y \in Obl(\mathbf{a}, M, s)$  and  $(M, s) \models B_x B_y \eta$  then  $\Phi_D(\mathbf{a}, M, s) \models B_x B_y \eta$

くほと くほと くほと

# Action Properties: Sensing Actions

### a determines f

- if  $(M, s) \models f$  then  $\Phi_D(\mathbf{a}, M, s) \models C_{Full(\mathbf{a}, M, s)}f$
- if  $(M, s) \models \neg f$  then  $\Phi_D(\mathbf{a}, M, s) \models C_{Full(\mathbf{a}, M, s)} \neg f$
- $\Phi_D(\mathbf{a}, M, s) \models C_{Part(\mathbf{a}, M, s)}(C_{Full(\mathbf{a}, M, s)}f \lor C_{Full(\mathbf{a}, M, s)}\neg f)$
- if  $x \in Obl(\mathbf{a}, M, s)$  and  $(M, s) \models B_x \eta$  then  $\Phi_D(\mathbf{a}, M, s) \models B_x \eta$
- if  $x \in Full(\mathbf{a}, M, s)$  and  $y \in Obl(\mathbf{a}, M, s)$  and  $(M, s) \models B_x B_y \eta$  then  $\Phi_D(\mathbf{a}, M, s) \models B_x B_y \eta$

くほと くほと くほと

# Action Properties: Announcement Actions

- a announces  $\varphi$  and  $(M, s) \models \varphi$ 
  - $\Phi_D(\mathbf{a}, M, s) \models C_{Full(\mathbf{a}, M, s)}\varphi$
  - $\Phi_D(\mathbf{a}, M, s) \models C_{Part(\mathbf{a}, M, s)}(C_{Full(\mathbf{a}, M, s)}\varphi \lor C_{Full(\mathbf{a}, M, s)}\neg \varphi)$
  - if  $x \in Obl(\mathbf{a}, M, s)$  and  $(M, s) \models B_x \eta$  then  $\Phi_D(\mathbf{a}, M, s) \models B_x \eta$
  - if  $x \in Full(\mathbf{a}, M, s)$  and  $y \in Obl(\mathbf{a}, M, s)$  and  $(M, s) \models B_x B_y \eta$  then  $\Phi_D(\mathbf{a}, M, s) \models B_x B_y \eta$

Initial state described by a collection I of statements:

### initially $\varphi$

Single agent domain: If the set of propositions is finite then a theory has only finitely many finite models.

Existing literature: a single initial Kripke state

Multi-agent domains:

- Models of a theory can be infinite.
- If a theory is consistent (has a model) then it has a finite model.
- Adding common knowledge operator C usually increases complexity.
- In multi-modal logics, a theory can have infinitely many infinite models even for finite set of propositions.

э.

・ 同 ト ・ ヨ ト ・ ヨ ト

### Assumption

- Initial state focuses on what is known
- Limit attention to S5 states

Some preliminary definitions:

- (M, s) model of theory T if  $(M, s) \models \psi$  for each  $\psi \in T$
- (M, s) equivalent to (M', s'): for each  $\psi \in \mathcal{L}(\mathcal{AG}, \mathcal{F})$

$$(M, s) \models \psi$$
 iff  $(M', s') \models \psi$ 

More preliminary definitions:

• A complete clause over F is a disjunction of literals  $\bigvee_{i=1}^{|\mathcal{F}|} \ell_i$  where  $\{f_i \mid \ell_i = f_i \lor \ell_i = \neg f_i\} = \mathcal{F}$ 

### Primitive Formulae

- $\textcircled{0} \hspace{0.1 cm} \varphi \hspace{0.1 cm} \textit{fluent formula}$
- 2  $C(B_i\varphi)$  where  $\varphi$  fluent formula
- $C(B_i \varphi \lor B_i \neg \varphi)$  where  $\varphi$  fluent formula
- $C(\neg B_i \varphi \land \neg B_i \neg \varphi)$  where  $\varphi$  fluent formula

### Note:

- we write  $K_i \varphi$  instead of  $B_i \varphi$
- note that  $C(K_i \varphi)$  is equivalent to  $C(\varphi)$

э.

・ 同 ト ・ ヨ ト ・ ヨ ト …

### Primitive Finitary S5-Theory T

- T is composed of primitive formulae
- For each complete clause  $\psi$  of F and agent i, T contains:

$$C(K_i\psi)$$
, or  
 $C(K_i\psi \lor K_i\neg\psi)$ , or

$$C(\neg K_i\psi \wedge \neg K_i\neg \psi)$$

### Finitary S5-Theory T

T is a Finitary S5 Theory if there exists a Primitive Finitary S5 theory H such that  $T \models H$ .

3

• • = • • = •

### Theorem

For a consistent primitive finitary S5-theory T there is a finite set  $\mu ModsS5(T)$  such that

- Each model in µModsS5(T) is finite;
- Each S5 model (M, s) of T is equivalent to one model in μModsS5(T).

### Theorem

Every Finitary S5 Theory T has finitely many finite canonical<sup>a</sup> models, up to equivalence.

<sup>a</sup>A model (M, s) is canonical if for each  $u, v \in M[S]$   $M[\pi](u) \neq M[\pi](v)$ .

3

- 4 目 ト - 4 日 ト - 4 日 ト

### Example

 $\bigcirc \varphi$ initially has\_key(A) initially heads 2  $C(K_i\varphi)$ **1** initially  $C(K_A has_kev(A))$  $\bigcirc$   $C(K_i \varphi \vee K_i \neg \varphi)$ **()** initially  $C(K_A heads \lor K_A \neg heads)$ **()** initially  $C(\neg K_B heads \land \neg K_B \neg heads)$ Computing Initial States: [Son et al. (2014)]

3

• • = • • = •

# Forward Search Planner [Le et al. (2018)] EPF and PG-EPF

Components of a forward search planner:

- Pre-Processor: Parsing and build data structure
- Initial States Computation
- Search Module
  - EPF: breadth-first search
  - PG-EPF: heuristic search planner

# Forward Search Planner

- 1: Input: A planning problem  $P = \langle F, AG, A, O, s_0, \phi_g \rangle$
- 2: Output: A solution for P if exists; failed otherwise
- 3: Compute the initial state given  $s_0$ :  $(M_i, W_i)$
- 4: Initialize a priority queue  $q = [(\{(M_i, W_i)\}, [])]$
- 5: while q is not empty **do**
- 6:  $(\Omega, plan) = dequeue(q)$
- 7: If  $(M, W_d) \models \phi_g$  for every  $(M, W_d) \in \Omega$  then return *plan*
- 8: for action *a* executable in every  $(M, W_d)$  in  $\Omega$  do
- 9: Compute  $\Omega' = \bigcup_{(M, W_d) \in \Omega} \Phi_D(a, (M, W_d))$
- 10: Compute heuristics and insert  $(\Omega', plan \circ a)$  into q
- 11: end for
- 12: end while
- 13: return failed

・ 同 ト ・ ヨ ト ・ ヨ ト

# Forward Search Planner

Epistemic Planning Graph

Alternation of Epistemic States Levels  $(K_i)$  and Action levels  $(A_i)^1$ 



 $\mathcal{K}_i$  is a set of *incomplete* e-model where  $\mathcal{K}_0$  is s  $\mathcal{A}_i$  is a set of actions *potentially applicable* in  $\mathcal{K}_i$ 

<sup>1</sup>For simplicity focus on deterministic observable theories

#### mA\* in epistemic planning

# Forward Search Planner

**Epistemic Planning Graph** 

### $K_i$ Possibly Entails $\varphi$



 $\mathcal{K}_0 \vDash has_key(A) \land K_A has_key(A)$ 

 $\mathcal{K}_1 \vDash$  opened  $\land$  tail  $\land$  looking(B)  $\mathcal{K}_1 \vDash$  opened  $\land$  tail  $\land$  looking(B)  $\mathcal{K}_1 \vDash K_c$  (opened  $\land$  looking(B))

IJCAI 2019 159 / 198

ラト

★ ∃ ▶ ★

#### mA\* in epistemic planning

# Forward Search Planner

Epistemic Planning Graph

### $A_i$ : Actions Potentially Applicable in $K_i$



IJCAI 2019 160 / 198

э

A B A A B A

#### mA\* in epistemic planning

# Forward Search Planner

Epistemic Planning Graph

$$K_i = K_{i-1} \cup \bigcup_{a \in A_{i-1}} \operatorname{Results}(a, K_i)$$

*a* causes  $\ell$ ; *i.e.*, *a* is an ontic action  $\alpha$  observes *a*; *i.e.*,  $\alpha \in F_a$ ;  $O_a = \mathcal{A}\mathcal{G} \setminus F_a$  a determines f; i.e., a is a sensing action a announces  $\ell$ ; i.e., a is an announcement action  $\alpha$  observes a; i.e.,  $\alpha \in F_a$  $\beta$  aware\_of a; i.e.,  $\beta \in P_a$ ; i.e.,  $O_a = \mathcal{AG} \setminus (F_a \cup P_a)$ 



result of ontic action a



result of sensing (or announcement) action a

- 4 同 6 4 日 6 4 日 6

# Forward Search Planner

### Epistemic Planning Graph

Example



# Forward Search Planner Heuristics

*level*( $\phi$ ) smallest level such that  $K_i$  possibly entails  $\phi$ Given  $\phi_g = \phi_1 \wedge \cdots \wedge \phi_k$ :

• 
$$h^{max}(\phi_g) = max\{level(\phi_i) \mid 1 \le i \le k\}$$

• 
$$h^{sum}(\phi_g) = \sum_{i=1}^k level(\phi_i)$$

3

イロト イヨト イヨト イヨト

## Forward Search Planner Some Experiments

	S	elective C	ommunicatio	on: SC(	3,4)	Selective Co			ommunication: SC(5,6)			Selective Communication: SC(7,8)					
$ \mathcal{AG}  = 3,  F  = 5,  A  = 7$				$ \mathcal{AG}  = 5,  F  = 7,  A  = 9$					$ \mathcal{AG}  = 7,  F  = 9,  A  = 11$								
L	d	MEPK	RP-MEP	EPF	PG-EFP	L	d	MEPK	RP-MEP	EFP	PG-EFP	L	d	MEPK	RP-MEP	EFP	PG-EFP
	1	.01	.1	.01	.02		1	.6	.2	.02	.04		1	35	.36	.22	TO
2	3	.2	.5	.02	.07	2	3	TO	3.2	.02	.04	5	3	TO	10.7	.22	ТО
	5	то	28	.03	.08		4	TO	51.58	.03	.04		4	TO	292	.24	TO
	1	.02	.1	.02	.06		1	.68	.2	.07	TO		1	35	.36	1.9	TO
3	3	.2	.5	.02	.07	4	3	TO	3.18	.08	то	7	3	TO	10.8	1.92	ТО
	5	TO	30	.02	.06		4	TO	54.78	.08	то		4	TO	300	1.9	то
	1	.05	.1	.08	TO		1	.81	.2	.51	.35		1	35.7	.32	23.7	1.86
5	3	.21	.6	.09	ТО	6	3	TO	3.21	.52	.36	9	3	TO	12.72	24	1.9
	5	ТО	28	.1	ТО		4	TO	51.81	.51	.34		4	TO	312	23.5	1.93

IJCAI 2019 164 / 198

э.

(日) (周) (三) (三)

# Forward Search Planner

Some Experiments

Problem	L	EFP	PG-EFP
CC(2,2,2)	2	.61	.81
CC(2,2,3)	5	48.6	2.5
A9  = 2,  F  = 10,  A  = 10	6	278.6	4.3
CC(2,2,4)	2	22.2	27.5
CC(2,2,4)	4	ТО	70
A9  - 2,  F  - 14,  A  - 22	7	ТО	160
CC(3,2,3)	2	3.3	2.3
(3,2,3)   (3	5	257.9	7.9
A9  = 3,  F  = 13,  A  = 24	6	ТО	10.3
CC(3,3,3)	2	.42	.68
$\begin{bmatrix} CC(3,3,3) \\   AC  = 2   E  = 12   A  = 21 \end{bmatrix}$	5	115.7	2.27
$ \mathcal{A}g  - 3,  \mathcal{F}  = 12,  \mathcal{A}  = 21$	6	ТО	3

## ASP Implementation [Pontelli et al. (2012)] Representing Formulae and States

• 
$$au$$
 translate formulae to terms, e.g.,

$$\tau(B_i(f \land \neg g)) = b(i, and(f, neg(g)))$$

- Representing state (M, s) at time T as facts
  - for each  $u \in M[S]$ :

to identify start state:

real(s, T)

• for each  $(u, v) \in M[i]$ 

• if fluent literal  $\ell$   $(M, u) \models \ell$ :

$$h(\tau(\ell), u, T)$$

э.

過 ト イヨ ト イヨト

Entailment

Extend h

$$\begin{array}{lll} h(or(A1, A2), S, T) &:= & h(A1, S, T).\\ h(or(A1, A2), S, T) &:= & h(A2, S, T).\\ n_{-}h(b(I, A), S, T) &:= & r(I, S, S1, T), \, not \, h(A, S1, T).\\ h(b(I, A), S, T) &:= & not \, n_{-}h(b(I, A), S, T). \end{array}$$

æ

m states in initial Kripke structure

$$\begin{array}{l} 1\{size(I) : between(0, I, m)\}1.\\ st(I, 0) : -I \geq 0, I \leq m.\\ 0\{h(F, S, 0)\}1 : -st(S, 0), fluent(F).\\ 0\{r(Ag, S1, S2, 0)\}1.\\ 1\{real(S, 0) : st(S, 0)\}1. \end{array}$$

3 x 3

→ Ξ →

Initial State

• For each initially  $\varphi$ 

: - real(S,0), not 
$$h(\tau(\varphi), S, 0)$$
.

• For each initially  $C(\varphi)$ 

 $: - real(S, 0), reach(S, S1, 0), not h(\tau(\varphi), S1, 0).$ 

• For each initially  $C(B_i \varphi \vee B_i \neg \varphi)$ 

: - real(S, 0), reach(S, S1, 0), r(i, S1, S2, 0),not  $h(\tau(\varphi), S2, 0), not h(\tau(\neg \varphi), S2, 0).$ 

• For each initially  $C(\neg B_i \varphi \land \neg B_i \neg \varphi)$ 

$$\textit{not\_agree} : -\textit{real}(S, 0), \textit{reach}(S, S1, 0), \\ h(\textit{or}(b(i, \tau(\varphi)), \tau(\neg \varphi)), S1, 0).$$

: — not\_agree.

= nar

- 4 週 ト - 4 三 ト - 4 三 ト

## ASP Implementation Initial State

Finally, ensure that the structure is S5:

$$\begin{array}{rll} r(A,S,S,0) & :- & st(S,0), agent(A).\\ r(A,S1,S2,0) & :- & r(A,S2,S1,0).\\ r(A,S1,S3,0) & :- & r(A,S1,S2,0), r(A,S2,S3,0). \end{array}$$

C. Baral and T. C. Son (ASU & NMSU)

IJCAI 2019 170 / 198

э

→ Ξ →

### ASP Implementation Observability

• y observes a if  $\varphi$ 

$$obs(y, \mathbf{a}, T) : -occ(\mathbf{a}, T), real(S, T), h(\tau(\varphi), S, T).$$

• y partially\_observes a if  $\varphi$ 

$$pobs(y, \mathbf{a}, T) : -occ(\mathbf{a}, T), real(S, T), h(\tau(\varphi), S, T).$$

• otherwise for each agent y and action instance **a** 

$$oth(y, \mathbf{a}, T) : - action(\mathbf{a}), occ(\mathbf{a}, T), not obs(y, \mathbf{a}, T),$$
  
not pobs(y,  $\mathbf{a}, T$ ).

э

Transition Function

### • executable a if $\varpi$

possible(
$$\mathbf{a}$$
,  $T$ ) : - real( $S$ ,  $T$ ),  $h(\tau(\varphi), S, T)$ .  
: - occ( $\mathbf{a}$ ,  $T$ ), not possible( $\mathbf{a}$ ,  $T$ ).

∃ →

• • = • •

Transition Function

Mechanical construction of new Kripke state





### ASP Implementation Transition Function – Sensing

Interpretation unchanged

$$\begin{array}{ll}h(L,S,T+1) & :- & occ(\mathbf{a},T), h(L,S,T).\\h(L,S+M,T+1) & :- & occ(\mathbf{a},T), h(L,S,T).\end{array}$$

Duplicate states

$$\begin{array}{rcl} st(S,T+1) & :- & occ({\bf a},T), st(S,T).\\ st(S+M,T+1) & :- & occ({\bf a},T), st(S,T).\\ real(S+M,T+1) & :- & occ({\bf a},T), real(S,T). \end{array}$$

IJCAI 2019 174 / 198

-∢ ∃ ►

э

Transition Function – Sensing

Maintain existing relations in original copy

$$r(A, S1, S2, T+1) : -occ(\mathbf{a}, T), r(A, S1, S2, T).$$

Fully observant agents have sensed fluent f

$$\begin{split} r(A, S1 + M, S2 + M, T + 1) &: -obs(A, \mathbf{a}, T), occ(\mathbf{a}, T), \\ r(A, S1, S2, T), h(f, S1, T), h(f, S2, T). \\ r(A, S1 + M, S2 + M, T + 1) &: -obs(A, \mathbf{a}, T), occ(\mathbf{a}, T), \\ r(A, S1, S2, T), h(neg(f), S1, T), h(neg(f), S2, T). \end{split}$$

Partially aware agents

$$r(A, S1 + M, S2 + M, T + 1) : -pobs(A, \mathbf{a}, T), occ(\mathbf{a}, T), r(A, S1, S2, T).$$

**Oblivious** agents

$$r(A, S1 + M, S2, T + 1) : -oth(A, a, T), occ(a, T), r(A, S1, S2, T).$$

C. Baral and T. C. Son (ASU & NMSU)

Generation of action sequences

```
1 \{ \textit{occ}(A, T) : \textit{action\_instance}(A) \} 1 : -T < n. Goal Satisfaction \varphi
```

 $:-real(S,n), h(\tau(\varphi),S,n).$ 

э

### Overview: a brief history of action languages (20 mnt - Chitta)

- 2 Action languages in single agent environments (70 mnt Son)
  - ullet The action language  $\mathcal{A}$ , state, and transition function
  - AL: A+ static causal laws, non-deterministic and sensing actions
    GOLOG
  - Action languages: related approaches and planning
- Action languages and causality (30 mnt Chitta)
  Pearl's do-calculus
- Action languages in multi-agent environments (60 mnt Son)
  mA\*, Kripke structure, update models, and transition function
  mA\* in enistemic planning
  - mA\* in epistemic planning

6 Action languages in commonsense reasoning (18 mnt - Chitta)

- Commonsense reasoning and actions
- Acquiring knowledge about actions

Open challenges, conclusion, and discussion (12 mnt - Chitta)

э.

< ロ > < 同 > < 回 > < 回 > < 回 > <

# Some commonsense knowledge types centered around actions

Action knowledge types from Winograd examples

Action examples and commonsense inferences that can be made from them

Knowledge Type 1: A Property May Prevent an Action

**Sentence:** The man couldn't lift his son because he (pronoun) was so weak.

Question: Who was weak?

Answer Choices: a) man b) son

Required Knowledge:

person1 is weak may prevent person1 lifts someone

3

• • = • • = •
Action knowledge types from Winograd examples

Action examples and commonsense inferences that can be made from them

Knowledge Type 2: An Action May Cause an Action

**Sentence:** The city councilmen refused the demonstrators a permit because they (pronoun) feared violence.

Question: Who feared violence?

Answers Choices: a) councilmen b) demonstrators

**Required Knowledge:** 

group1 fears violence may cause group1 refuses permit

- 4 同 6 4 日 6 4 日 6

Action knowledge types from Winograd examples

Action examples and commonsense inferences that can be made from them

Knowledge Type 3: A Property May Cause an Action

**Sentence:** The sculpture rolled off the shelf because it (pronoun) was not anchored.

Question: What was not anchored?

Answer Choices: a) sculpture b) shelf

Knowledge Needed:

object1 is not anchored may cause object1 is rolled off

- 4 週 ト - 4 三 ト - 4 三 ト

Action knowledge types from Winograd examples

Action examples and commonsense inferences that can be made from them

Knowledge Type 4: An Action May Cause a Property

**Sentence:** I took the water bottle out of the backpack so that it (pronoun) would be handy.

Question: What would be handy?

**Answer Choices:** a) bottle b) backpack

**Required Knowledge:** 

object1 is taken out of something may cause object1 is handy

3

Action knowledge types from Winograd examples

Action examples and commonsense inferences that can be made from them

Knowledge Type 5: An Action May Prevent an Action

**Sentence:** Beth didn't get angry with Sally, who had cut her off, because she (pronoun) stopped and counted to ten.

Question: Who counted to ten?

Answers: a) Beth b) Sally

**Required Knowledge:** 

person1 counts to ten may prevent person1 gets angry

3

Action knowledge types from Winograd examples

Action examples and commonsense inferences that can be made from them

Knowledge Type 6: An Action May be Followed By an Action

**Sentence:** The customer walked into the bank and stabbed one of the tellers. He was immediately taken to the hospital.

Question: Who was taken to the hospital?

Answers: a) teller b) customer

Required Knowledge:

person1 is stabbed may be followed by person1 is taken to hospital

3

Action knowledge types from Winograd examples

Action examples and commonsense inferences that can be made from them

Knowledge Type 7: An Action May be Followed by a Property

**Sentence:** Sam broke both his ankles and he is walking with crutches. But a month or so from now they (pronoun) should be unnecessary.

Question: What should be unnecessary?

Answer Choices: a) ankles b) crutches

**Required Knowledge:** person1's ankles are broken and person1 walks with crutches may be followed by crutches are unnecessary

3

イロト イヨト イヨト

Action knowledge types from Winograd examples

Action examples and commonsense inferences that can be made from them

Knowledge Type 8: A Property May be followed by an Action

**Sentence:** Thomson visited Cooper's grave in 1765. At that date he (pronoun) had been dead for five years.

Question: Who had been dead for five years?

Answer Choices: a) Cooper b) Thomson

Knowledge Needed:

person1 is dead may be followed by person1's grave is visited

3

Action knowledge types from Winograd examples

Action examples and commonsense inferences that can be made from them

Knowledge Type 9: A Property May Cause a Property

**Sentence:** Sam and Amy are passionately in love, but Amy's parents are unhappy about it, because they (pronoun) are fifteen.

Question: Who are fifteen?

Answer Choices: a) Sam and Amy b) Amy's parents

**Knowledge Needed:** person1 is in love and person1 is fifteen years old may cause person1's parents are unhappy

э.

Action knowledge types from Winograd examples

Action examples and commonsense inferences that can be made from them

Knowledge Type 10: Action-action suggestions

**Sentence:** Steve follows Fred's example in everything. He influences him (pronoun) hugely.

Question: Who is influenced?

Answer Choices: a) Steve b) Fred

**Knowledge Needed:** person1 follows person2's example in everything may suggest person1 is influenced by person2

3

Action knowledge types from Winograd examples

Action examples and commonsense inferences that can be made from them

Knowledge Type 11: Action-property suggestions

Sentence: The fish ate the worm. It (pronoun) was hungry.

Question: What was hungry?

Answer Choices: a) fish b) worm

**Knowledge Needed:** animal1 eats something may co-occur with animal1 is hungry

э.

(4 回) (4 \Pi) (4 \Pi)

A	gent X does a	ction A on/with-respec	t-to(w.r.t.) agent Y	
Action attribute	Condition	w.r.t. X	w.r.t. Y	w.r.t. Others
Conditional effect of this action	condition	effect of A on X's attributes (×Effect, ×React)	effect of A on Y's attributes (oEffect, oReact)	effect of A on the environment (oEffect, oReact)
	condition	triggers another action by X (xWant)	triggers another action by Y (oWant)	triggers another action by another agent (oWant)
Executability Condition		On X	On Y	On other agents or objects in the environment
Reflective Condition		On X (xAttr)	On Y	On other agents or objects in the environment
Triggering or Preceding actions		Another action by X (×Need)	An action by Y	An action by another agent
		About X's	About Y's	About properties
		properties	properties	of other agents
Preventing conditions		About X's attributes	About Y's attributes	About other agents or objects
Preventing actions		Another action by X	An action by Y	An action by another agent
Motivation behind the action		For a desired property of X (xIntent)	For a desired property of Y	For a desired property of the world
		To trigger another action by X	To trigger another action by Y	To trigger action by another agent

# Action examples and commonsense inferences that can be made from them

Event	Inference Examples	Inference aspect
	Person X wanted to be nice	×Intent
	Person X will feel good	×React
	Person Y will feel flattered	oReact
Person X pays Person Y a complement	Person X will want to chat with	xW/ant
	Person Y	Avvalit
	Person Y will smile	oEffect
	Person Y will complement	oW/ant
	Person X back	ovvant
	Person is flattering	×Attr
	Person X needs to put the	xNeed
Person X makes Person Y's coffee	coffee in the filter	Arteed
	Person X gets thanked	×Effect
Person X shakes hand with Person X	Person Y's hand is extended	New
reison X shakes hand with reison r	Person Y extends the hand	New
Person X throws a ball to Y	Person X had a ball	New
Person X marries Person Y	X and Y are married	New
Person X asks help from Person Y	Person Y is approachable	New

C. Baral and T. C. Son (ASU & NMSU)

IJCAI 2019 180 / 198

- Initiation and termination conditions of non instantaneous (and possibly continuous) actions such as "falling" and "driving".
- Action modifiers: Commonsense knowledge describing the effect of "eating", "eating a little" and "eating a lot" can be different.
- Actions may have additional attributes such as implements used in the action or the objects that are target of the action, and commonsense knowledge about such actions may depend on the specific implement used for that action or the specific target of the action.
  - The effect of hitting a ball is different from hitting a wall which is different from hitting a car.
  - Hitting with a bat is different from hitting with a sledgehammer which is different from hitting with a feather.

э.

イロト 不得下 イヨト イヨト

- Actions together with expectations about those actions
  - "Going to sleep" is expected to follow someone feeling sleepy.
  - ▶ X feels sleepy but continues to work implies X may have a deadline.
  - An action A by X may have many possible reaction by Y, and the particular reaction that takes place may shed additional light such as on X's attributes or Y's attributes: If X attacks Y and Y cries then the commonsense conclusion about Y would be different from if X attacks Y and Y counterattacks or if Y ducks.

- Commonsense knowledge about "perceptions" or sensing actions
  - ► IF X observes/perceives Z then it will do A,
  - IF X observes/perceives Z then it will/may conclude event E will happen'
  - ► IF X observes/perceives Z then it will conclude C
  - ▶ If X sees lightning then he will conclude that he will hear thunder soon
  - If X sees a carjacking he will call 911
  - If X hears his baby crying he will console the baby
  - If X sees a riot happening he will run away and call police
- Commonsense knowledge about "perceptions" followed by an action
  - If X touches his kid's head and it is burning hot he will go to ER
  - ▶ If X opens the door and sees a package lying then he will bring it inside

- 4 目 ト - 4 日 ト - 4 日 ト

- Commonsense knowledge that associate modalities (intentions, beliefs, and obligations, etc.) with an agent's action performed unilaterally or in response to some perceptions
  - X put water on a fire implies X believed that the water will put out the fire
  - X put water on a fire implies X intended to put out the fire
  - X does not like to do A, but does it every week without being forced implies X feels obligated to do A

# Knowledge acquisition through crowdsourcing: General technique

- Crowdsourcing commonsense knowledge involves designing a well defined question answering task.
- The question answering task contains a large number of input problems that are automatically created using existing machine readable resources (e.g. Google NGram Corpus, existing knowledge bases, VerbNet).
- Each input problem normally contains a scenario ( normally one or two sentences or an image ) and a set of easy-to-follow questions from the context, which are given to the crowd workers.
- The crowd workers answers those questions.
- Those answers, questions and the original context are then combined together to form a large commonsense knowledge base.

3

イロト 不得下 イヨト イヨト

#### Knowledge acquisition through crowdsourcing in ATOMIC

	Personx teels sleepy
Before	
Does Per	sonX need to do anything before this event?
After	

	PersonX feels sleepy
After	
What ever	it if happen after this would be unexpected ?
Explanati	on

put prompt that was used to create the ATOMIC used to collect knowledge about unexpected sceknowledge base.

(a) A simplified example of a Crowdsourcing in- (b) An example of a input prompt that could be narios.

- The descriptions of events ("PersonX feels sleepy") are automatically extracted machine readable resources such as stories, books, Google Ngrams, and Wiktionary idioms.
- A fixed set of manually crafted questions are asked to get information about these actions. For example, the question "What does PersonX" most likely want to do after this event?" seeks knowledge about possible effects.
- But the current work does not ask some important questions.

#### Going beyond ATOMIC: An example to collect knowledge about unexpected scenarios.

	r craonoc rocta arcopy
Before	
Does Pe	rsonX need to do anything before this event?
After	

	Persona reels sicepy
After	
Alter	
What ev	ent if happen after this would be unexpected
What ev Explana	ent if happen after this would be unexpected 

knowledge base.

(a) A simplified example of a Crowdsourcing in- (b) An example of a input prompt that could be put prompt that was used to create the ATOMIC used to collect knowledge about unexpected scenarios.

< 口 > < 同 >

- Input may describe "PersonX feels sleepy" with an optional list of events that normally follows the scenario (e.g. "PersonX goes to bed", "PersonX closes PersonX's eyes") and
- then ask the crowd worker to describe what might be an abnormal outcome (e.g. "PersonX continues working") and some most probable explanations (e.g. "PersonX has an impending deadline")

#### Overview: a brief history of action languages (20 mnt - Chitta)

- 2 Action languages in single agent environments (70 mnt Son)
  - ullet The action language  $\mathcal{A}$ , state, and transition function
  - AL: A+ static causal laws, non-deterministic and sensing actions
     GOLOG
  - Action languages: related approaches and planning
- Action languages and causality (30 mnt Chitta)
   Pearl's do-calculus
- 4 Action languages in multi-agent environments (60 mnt Son)
  - mA\*, Kripke structure, update models, and transition function
    mA\* in epistemic planning

5 Action languages in commonsense reasoning (18 mnt - Chitta)

- Commonsense reasoning and actions
- Acquiring knowledge about actions

6 Open challenges, conclusion, and discussion (12 mnt - Chitta)

э.

イロン 不聞と 不同と 不同と

#### Summary

- Action and change has been thought about from pre-Plato, Aristotle days
- Al approach to action and change has its foundations from Leibniz and Newton (frame problem)
- Since the early days of AI there has been a lot of progress in reasoning about action and change and planning many theories and many systems
- But all assume input is given in a formal way
- Actions also play an important role in Probabilistic reasoning and statistical inference: But currently only a limited form of action do(I)
  - meaning making I true is being considered

э.

くぼう くほう くほう

#### Challenges

- Challenge 1: How to consider more general actions in the context of statistical inference
- Natural language understanding (NLU) and Question answering (QA) has a symbiotic relationship with research in reasoning about actions (RAC)
  - Research in RAC is useful in Natural language QA (NLQA)
  - INLQA suggest many new challenges in RAC
- Challenge 2: Using research in RAC for better QA and NLU
- Challenge 3: Address RAC issues suggested by NLQA
- Challenge 4: Lot of issues in RAC and planning in the multi-agent domain

3

- 4 目 ト - 4 日 ト - 4 日 ト

#### Some Interesting directions in multi-agent domains

- Deception:
  - Announcing  $\varphi$  implies  $(M, s) \models \varphi$
  - Lies: announce  $\varphi$  such that  $(M, s) \models \neg \varphi$
  - ▶ Bullshit: announce  $\varphi$  such that  $(M, s) \not\models \varphi$  and  $(M, s) \not\models \neg \varphi$
- Static Causal Laws
- Iternative semantic characterizations
  - Non-well-founded set theory

IJCAI 2019 191 / 198



IJCAI 2019 192 / 198

Ξ.

<ロ> (日) (日) (日) (日) (日)

#### References I

- Babb, J. and Lee, J. (2015). Action language BC+: preliminary report. In Proceedings of the Twenty-Ninth AAAI Conference on Artificial Intelligence, January 25-30, 2015, Austin, Texas, USA., pages 1424–1430.
- Baral, C. and Gelfond, M. (2000). Reasoning agents in dynamic domains. In Minker, J., editor, *Logic-Based Artificial Intelligence*, pages 257–279. Kluwer Academic Publishers.
- Baral, C., Gelfond, M., and Provetti, A. (1997). Representing Actions: Laws, Observations and Hypothesis. *Journal of Logic Programming*, 31(1-3):201–243.
- Baral, C., McIlraith, S., and Son, T. C. (2000). Formulating diagnostic problem solving using an action language with narratives and sensing. In Proceedings of the Seventh International Conference on Principles of Knowledge and Representation and Reasoning (KR'2000), pages 311–322.

#### References II

- Baral, C., Son, T. C., and Tuan, L.-C. (2002a). A transition function based characterization of actions with delayed and continuous effects. In Proceedings of the Eighth International Conference on Principles of Knowledge Representation and Reasoning (KR'2002), pages 291–302. Morgan Kaufmann Publisher.
- Baral, C., Tran, N., and Tuan, L.-C. (2002b). Reasoning about actions in a probabilistic setting. In AAAI/IAAI, pages 507–512.
- De Giacomo, G., Lespérance, Y., and Levesque, H. (2000). *ConGolog*, a concurrent programming language based on the situation calculus. *Artificial Intelligence*, 121(1-2):109–169.
- Fikes, R. and Nilson, N. (1971). STRIPS: A new approach to the application of theorem proving to problem solving. *Artificial Intelligence*, 2(3–4):189–208.
- Gelfond, M. and Lifschitz, V. (1993). Representing actions and change by logic programs. *Journal of Logic Programming*, 17(2,3,4):301–323.

< <>></>

#### References III

- Gelfond, M. and Lifschitz, V. (1998). Action Languages. *Electronic Transactions on Artificial Intelligence*, 3(6).
- Ghallab, M., Howe, A., Knoblock, C., McDermott, D., Ram, A., Veloso, M., Weld, D., and Wilkins, D. (1998). PDDL the Planning Domain Definition Language. Version 1.2. Technical Report CVC TR98003/DCS TR1165, Yale Center for Comp, Vis and Ctrl.
- Giunchiglia, E., Kartha, G., and Lifschitz, V. (1997). Representing action: indeterminacy and ramifications. *Artificial Intelligence*, 95:409–443.
- Giunchiglia, E. and Lifschitz, V. (95). Dependent fluents. In *Proceedings* of the 14th International Joint Conference on Artificial Intelligence, pages 1964–1969. Morgan Kaufmann Publishers, San Mateo, CA.
- Kartha, G. and Lifschitz, V. (1994). Actions with indirect effects (preliminary report). In *KR 94*, pages 341–350.
- Kowalski, R. and Sergot, M. (1986). A logic-based calculus of events. New Generation Computing, 4:67–95.

#### References IV

- Le, T., Fabiano, F., Son, T. C., and Pontelli, E. (2018). EFP and PG-EFP: Epistemic Forward Search Planners in Multi-Agent Domains. In *International Conference on Automated Planning and Scheduling* (*ICAPS*). AAAI Press.
- Lee, J. and Lifschitz, V. (2003). Describing additive fluents in action language C+. In *Proceedings of IJCAI*, pages 1079–1084.
- Lee, J., Lifschitz, V., and Yang, F. (2013). Action language BC: preliminary report. In *IJCAI 2013, Proceedings of the 23rd International Joint Conference on Artificial Intelligence, Beijing, China, August 3-9,* 2013, pages 983–989.
- Lee, J. and Wang, Y. (2018). Weight learning in a probabilistic extension of answer set programs. In Principles of Knowledge Representation and Reasoning: Proceedings of the Sixteenth International Conference, KR 2018, Tempe, Arizona, 30 October - 2 November 2018., pages 22–31.

= nar

イロト 不得下 イヨト イヨト

#### References V

- Levesque, H., Reiter, R., Lesperance, Y., Lin, F., and Scherl, R. (1997). GOLOG: A logic programming language for dynamic domains. *Journal* of Logic Programming, 31(1-3):59–84.
- McCarthy, J. and Hayes, P. (1969). Some philosophical problems from the standpoint of artificial intelligence. In Meltzer, B. and Michie, D., editors, *Machine Intelligence*, volume 4, pages 463–502. Edinburgh University Press, Edinburgh.
- Pontelli, E., Son, T. C., Baral, C., and Gelfond, G. (2012). Answer set programming and planning with knowledge and world-altering actions in multiple agent domains. In *Correct Reasoning - Essays on Logic-Based AI in Honour of Vladimir Lifschitz*, pages 509–526.
- Son, T. C. and Baral, C. (2001). Formalizing sensing actions a transition function based approach. *Artificial Intelligence*, 125(1-2):19–91.

= nar

・ロト ・聞ト ・ヨト ・ヨト

#### References VI

- Son, T. C., Pontelli, E., Baral, C., and Gelfond, G. (2014). Finitary s5-theories. In Fermé, E. and Leite, J., editors, *Logics in Artificial Intelligence - 14th European Conference, JELIA 2014, Funchal, Madeira, Portugal, September 24-26, 2014. Proceedings*, volume 8761 of *Lecture Notes in Computer Science*, pages 239–252. Springer.
- Thiebaux, S., Hoffmann, J., and Nebel, B. (2003). In Defense of PDDL Axioms. In *Proceedings of the 18th International Joint Conference on Artificial Intelligence (IJCAI'03)*.
- Thielscher, M. (2000). The Fluent Calculus: A Specification Language for Robots with Sensors in Nondeterministic, Concurrent, and Ramifying Environments. Technical Report CL-2000-01, Computational Logic Group, Department of Computer Science, Dresden University of Technology.

э.

イロト 不得下 イヨト イヨト