

# Relational Algebra and SQL

**Database query language.** Used for retrieving information stored in a database. Most important relational query language is SQL. SQL is declarative (only specifies what should be in the answer and not how to compute it). See the difference between SQL and C, C++?

**Relational Algebra.** An important form of query language for the relational model. Provided the necessary background for designing complex queries. Consists of a small number of basic operators. A query is simply an expression in relational algebra.

**The operators of the relational algebra:** divided into the following classes:

1. *Set operators:* union ( $\cup$ ), intersection ( $\cap$ ), and set difference ( $-$ )
2. *Operators that remove part of the relation:* projection or selection ( $\pi$  or  $\sigma$ )
3. *Operators that combine part of the relation:* cartesian product or joint ( $\times$  or  $\bowtie$ )
4. *Renaming operators:* rename the schema of the relation ( $\rho$ )

## Set operators

**Union ( $\cup$ ):**  $R \cup S$  represents a new relation whose tuples are either tuples belonging to  $R$ , to  $S$ , or both.

**Set difference ( $-$ ):**  $R - S$  represents a new relation whose tuples are tuples belonging to  $R$  but not to  $S$ .

**Intersection ( $\cap$ ):**  $R \cap S$  represents a new relation whose tuples are tuples belonging to  $R$  and  $S$ .

**Note:** Set operators only work on relations with the same schema.

**Example 1** Let  $R$  be the following relation instance:

A	B	C
1	2	3
2	1	1
3	2	2
4	1	3

and  $S$  be the following relation instance:

A	B	C
2	3	4
2	1	1
1	3	4
4	3	4

Then:  $R \cup S$  is

A	B	C
1	2	3
2	1	1
3	2	2
4	1	3
2	3	4
1	3	4
4	3	4

$R \cap S$  is

A	B	C
2	1	1

and  $R - S$  is

A	B	C
1	2	3
3	2	2
4	1	3

**NOTE:** If the schema of  $S$  changes, say  $S(A1, B1, C1)$  then all of the three operations ( $\cup$ ,  $\cap$ ,  $\setminus$ ) will yield the empty relation.

### Operators that remove part of the relation

**Projection ( $\pi$ )** This operation removes some columns from a relation. We write  $\pi_{A_1, \dots, A_k}(R)$  and the result is a new relation that has only the columns  $A_1, \dots, A_k$  of  $R$  and whose schema is the set of attributes  $\{A_1, \dots, A_k\}$  of the relation  $R$ . For the relation instance  $R$  in Example 1,

$$\pi_{A,B}(R)$$

is the relation instance:

A	B
1	2
2	1
3	2
4	1

**Selection ( $\sigma$ )** The operation selects some tuples from the current relation and defines a new relation.  $\sigma_C(R)$  represents a new relation that has tuples belonging to  $R$  and each of them satisfying the conditional expression (or condition)  $C$ .

$C$  is a conditional expression constructed from attributes of  $R$  or constants and/or basic logical operations such as AND, OR, and NOT. For example,

$$A < B + C$$

would be a valid conditional expression with respect to the relation  $R$  in Example 1; another example is

$$(A < B + C) \text{ OR } B = C.$$

For the relation  $R$  in Example 1,  $\sigma_{A < B + C}(R)$  is the following relation instance:

A	B	C
3	2	2

### Operators that combine relations

**Cartesian Product ( $\times$ )** Given two relations  $R$  and  $S$ . The Cartesian product  $R \times S$  is the set of pairs that can be formed by choosing the first element of the pair to be any element of  $R$  and the second an element of  $S$ .

**Example 2** Let  $R$  be the following relation instance:

A	B	C
1	2	3
2	1	1
3	2	2
4	1	3

and  $S$  be the following relation instance:

E	D
2	3
2	1

Then:  $R \times S$  is

A	B	C	E	D
1	2	3	2	3
2	1	1	2	3
3	2	2	2	3
4	1	3	2	3
1	2	3	2	1
2	1	1	2	1
3	2	2	2	1
4	1	3	2	1

**NOTE:** When  $R$  and  $S$  share some attributes, the name of the shared attributes *need* to be redefined. Two common ways: (i) attaching the relation name to the attributes of the result; (ii) using the renaming operator.

Suppose  $S$  is given by

A	D
2	3
2	1

Then:  $R \times S$  is

R.A	B	C	S.A	D
1	2	3	2	3
2	1	1	2	3
3	2	2	2	3
4	1	3	2	3
1	2	3	2	1
2	1	1	2	1
3	2	2	2	1
4	1	3	2	1

Alternatively, we can write  $R \times S[A, B, C, D, E]$  and the result is

A	B	C	D	E
1	2	3	2	3
2	1	1	2	3
3	2	2	2	3
4	1	3	2	3
1	2	3	2	1
2	1	1	2	1
3	2	2	2	1
4	1	3	2	1

**Join** (also called *theta* join) The join operator combines two relations  $R$ , and  $S$  but using only tuples that are matching in some way. The general join operator has the form:

$$R \bowtie_{join\_cond} S$$

where  $join\_cond$  is an expression of the form

$$R.A_1 \ op_1 \ S.B_1 \ AND \ R.A_2 \ op_2 \ S.B_2 \ \dots \ AND \ R.A_n \ op_n \ S.B_n$$

$op_i$ 's are either  $=, \neq, >, \leq, <, \geq$ ,  $R.A_i$ 's are attributes of  $R$ , and  $S.B_j$ 's are attributes of  $S$ . (a conjunction of comparisons between attributes of  $R$  and  $S$ )

**Example 3** Let  $R$  be the following relation instance:

A	B	C
1	2	3
2	1	1
3	2	2
4	1	3

and  $S$  be the following relation instance:

B	D
2	3
3	1

Then:  $R \bowtie_{R.B=S.B} S$  is

A	B	C	S.B	D
1	2	3	2	3
3	2	2	2	3

The first tuple of  $R \bowtie_{R.B=S.B} S$  is combined from the 1<sup>st</sup> tuple of  $R$  and the 1<sup>st</sup> tuple of  $S$ . The second tuple of  $R \bowtie_{R.B=S.B} S$  is combined from the 3<sup>rd</sup> tuple of  $R$  and the 1<sup>st</sup> tuple of  $S$ . For the second tuple of  $S$ , the value of  $B$  is 3 and none of the tuples in  $R$  has  $B = 3$ . So, no new tuple for  $R \bowtie_{R.B=S.B} S$  can be formed using the second tuple of  $S$ .

**Special cases:** *Equi-join* is a join between  $R$  and  $S$  whose join condition is a conjunction of equality comparisons; *natural join* is an equi-join whose join condition is the conjunction of all equality comparisons between shared attributes of  $R$  and  $S$ . Often, a join without join-condition is understood as a natural join.

**Join is a derived operator** :  $R \bowtie_C S$  is given by

$$R \bowtie_C S = \sigma_C(R \times S).$$

**Renaming ( $\rho$ ):** This is another way for renaming  $\rho_{S(A_1, \dots, A_k)}(R)$  creates a new relation, named  $S$ , and attributes  $\{A_1, \dots, A_k\}$  with all the tuples of  $R$ .

**Example 4** Let  $R$  be the following relation instance:

A	B	C
1	2	3
2	1	1
3	2	2
4	1	3

Then,  $\rho_{T(M,N,P)}(R)$  is a new relation  $T$  with the following instance

M	N	P
1	2	3
2	1	1
3	2	2
4	1	3

NOTE:  $\rho_S(R)$  only changes the name of the relation.

**Outer-, Left Outer-, and Right Outer-join** The join operator might remove some tuples of the relations involved in the join. To retain the 'dangling' tuples (for different purposes), outer-, left outer-, or right outer-join can be used. They are defined as follows:

*Outer join*  $R \bowtie_C^{outer} S$  consists of (i) (the tuples in)  $R \bowtie_C S$ , (ii) the tuples in  $R$  that do not join with any tuple in  $S$  (NULL valued padded to the part of  $S$ ), and (iii) the tuples in  $S$  that do not join with any tuple in  $R$  (NULL valued padded to the part of  $R$ ).

*Left outer join*  $R \bowtie_C^{left} S$  consists of tuples in (i) and (iii) (of outer join)

*Right outer join*  $R \bowtie_C^{right} S$  consists of tuples in (i) and (ii) (of outer join)

**Example 5** Let  $R$  be the following relation instance:

A	B	C
1	2	3
2	1	1
3	2	2
4	1	3

and  $S$  be the following relation instance:

B	D
2	3
3	1

Then:  $R \bowtie_{R.B=S.B} S$  is

A	B	C	S.B	D
1	2	3	2	3
3	2	2	2	3

$R \bowtie_{R.B=S.B}^{outer} S$  is

A	B	C	S.B	D
1	2	3	2	3
3	2	2	2	3
2	1	1	NULL	NULL
4	1	3	NULL	NULL
NULL	NULL	NULL	3	1

$R \bowtie_{R.B=S.B}^{right} S$  is

A	B	C	S.B	D
1	2	3	2	3
3	2	2	2	3
2	1	1	NULL	NULL
4	1	3	NULL	NULL

$R \bowtie_{R.B=S.B}^{left} S$  is

A	B	C	S.B	D
1	2	3	2	3
3	2	2	2	3
NULL	NULL	NULL	3	1

**Division operator** For  $R$  with the set of attributes  $\{A_1, \dots, A_n, B_1, \dots, B_m\}$  and  $S$  with the set of attributes  $\{B_1, \dots, B_m\}$   $R/S$  is a relation over the set of attributes  $\{A_1, \dots, A_n\}$  with the set of tuples  $\langle a \rangle$  where  $\{\langle a \rangle\} \times S \subseteq R$ .

**Example 6** Let  $R$  be the following relation instance:

A	B	C
1	2	3
2	1	1
3	2	2
1	3	1

and  $S$  be the following relation instance:

B	C
2	3
3	1

Then:  $R/S$  is

A
1

**Combining Operations to Form Queries** The operators of relational algebra allows us to form expressions of arbitrary complexity by applying operators to either a given relation or to the relations that are the result of applying operators to relations.

Example like the theta-join operator, or something like  $\pi_{A,B}(\sigma_{C>D}(R \times S))$  etc.

**Simple SQL queries.** A simple SQL query has the following form

```
SELECT targetList
FROM   relationList
WHERE  condition
```

with

- `relationList` is a list of relations. It is often written as `Table1 T1, Table2 T2, ..., Tablek Tk` where `Tablei` is a relation;
- `targetList` is a list of attributes (of relations appearing in `relationList`) or expressions constructed from attributes (of relations appearing in `relationList`), constants, and the well-known arithmetic operations (+, −, /, \*, etc.) as well as Boolean operators AND, OR, NOT;
- `condition` is a Boolean formula formed from attributes (of relations appearing in `relationList`), constants, and the well-known arithmetic operations (+, −, /, \*, etc.), AND, OR, NOT, etc.

Roughly, a simple query of the above form is ‘equivalent’ to the relational algebra expression

$$\pi_{\text{targetList}}(\sigma_{\text{condition}}(T1 \times T2 \times \dots \times Tk))$$

with the exception that without explicitly mention ‘DISTINCT’ before `targetList`, the SQL query will return duplicates in the answer while the evaluation of relational algebra expression will remove duplicates.

**What is important?** the steps involving in the evaluation of a SQL query, especially when the query contains subqueries; the use of Boolean operators in forming the condition in the WHERE clause.

**Aggregations in SQL.** There are five aggregates operators in SQL COUNT, AVG, SUM, MIN, MAX that operated on *numeric* attributes (except COUNT). When specified, these operators yield a *single* number, which can be used — like a constant — in the condition of the WHERE clause. Often, these operators are used in conjunction with GROUP BY. SQL queries with these operators and GROUP BY are of the following form:

```
SELECT targetList, aggList
FROM   relationList
WHERE  condition
GROUP BY groupList
```

with `aggList` and `groupList` are two new components of the query and

- `aggList` is a list of aggregations;
- `groupList` is a list of attributes, used to divide tuples into group before the aggregations are computed.

**What is important?** the steps involving in the evaluation of a SQL query when GROUP BY and aggregations are present.

**General SQL queries.** with HAVING `groupCondition` and ORDER BY `attList` clauses; HAVING `groupCondition` is used to eliminate the group that does not satisfy the `groupCondition` from the final result of the query; ORDER BY `attList` is used to order the final result. Again, it is important to know the steps (step-by-step) of the query evaluation.

**View.** helps improving the readability, maintainability, and security of SQL queries and data; can be materialized; difficult in maintaining; important to remember that views are not stored (different from *base relations*) and rewriting is used when evaluation of queries containing views;

**Modifying relation instance.** SQL can be used to modify relation instances; INSERT, UPDATE, and DELETE can be used to insert, update, or delete tuples from relations; all three operations can be done on a single tuple or a set of tuples; the syntax is really simple:

```
INSERT INTO Table(attList) VALUES(valueList)
INSERT INTO Table(attList) AS (SQL query)
UPDATE Table SET (att-vallist) WHERE condition
DELETE FROM Table WHERE condition
```