

Autoepistemic logic (AEL)

Introduced by Moore [4] in 1985.

Definition 1 Let \mathcal{L} be a propositional language. We define \mathcal{L}_B as the smallest set such that

- $\mathcal{L} \subseteq \mathcal{L}_B$
- If $\varphi, \psi \in \mathcal{L}_B$ then so are $\neg\varphi$, $(\varphi \vee \psi)$, $(\varphi \wedge \psi)$, $(\varphi \supset \psi)$
- If $\varphi \in \mathcal{L}_B$ then $B\varphi \in \mathcal{L}_B$

Stable theories

Definition 2 An autoepistemic theory $T \subseteq \mathcal{L}_B$ is *stable* iff

St1. $T = \{\varphi \mid T \vdash \varphi\}$

St2. If $\varphi \in T$ then $B\varphi \in T$

St3. If $\varphi \notin T$ then $\neg B\varphi \in T$

Note that the ‘ \vdash ’ in (St1) is a propositional consequence operator. T is not closed under any modal system as a result of just (St1). As far as (St1) goes, $B\varphi$ is an atomic formula. (St2) means that T is closed under necessitation.

Theorem 1 Let $T \subseteq \mathcal{L}_B$ be stable. Then

1. If $B\varphi \in T$ then $\varphi \in T$
2. If T is consistent and $\neg B\varphi \in T$ then $\varphi \notin T$

Proof 1. Assume $B\varphi \in T$ and $\varphi \notin T$. Then from (St3) $\neg B\varphi \in T$. Since T is inconsistent, $\varphi \in T$, a contradiction. 2. Assume $\neg B\varphi \in T$. Since T is consistent, $B\varphi \notin T$. Then from (St2) $\varphi \notin T$.

Corollary 2 Let $T \subseteq \mathcal{L}_B$ be stable and consistent. Then

1. $\varphi \in T$ iff $B\varphi \in T$
2. $\varphi \notin T$ iff $\neg B\varphi \in T$

Stable expansions

What beliefs should an agent have based on a set of facts $T \subseteq \mathcal{L}_{\mathbf{B}}$? They should

- include T
- allow introspection
- be grounded in T

Definition 3 E is a *stable expansion* of T iff

$$E = \{\varphi \mid T \cup \{\mathbf{B}\varphi \mid \varphi \in E\} \cup \{\neg\mathbf{B}\varphi \mid \varphi \notin E\} \vdash_s \varphi\}$$

Here S is propositional logic (PL). If we define an expansion this way, we say that E is *weakly grounded* in T .

A stable expansion does not always exist. The theory $\{p \supset \mathbf{B}\neg p\}$ has no stable expansions. Some theories have more than one expansion. $\{\mathbf{B}p \supset p\}$ has, as we shall see two expansions.

Kernel of a stable expansion

Definition 4 The *kernel* E_0 of a stable theory $E \subseteq \mathcal{L}_B$ is defined as the propositional subset of E .

Lemma 3 If E is a stable set, then E is an expansion of E_0 .

Lemma 4 If E and F are stable sets and $E_0 = F_0$, then $E = F$.

Theorem 5 A stable set is uniquely determined by its kernel.

Proof Follows from lemmas 3 and 4.

Definition 5 $\text{St}(A)$ is defined as the stable closure of a set of propositional formula A (alternatively S5 closure). This means that the kernel of $\text{St}(A)$ is A , equivalently that $\text{St}(A)$ is the unique stable expansion of A .

S5 consequence

Theorem 6 If $T \subseteq \mathcal{L}_B$ is stable, then T is closed under S5 consequence.

Proof All instances of S5 axiom schemata are contained in T :

K. $\beta = \mathbf{B}(\varphi \supset \psi) \supset (\mathbf{B}\varphi \supset \mathbf{B}\psi)$

It suffices to show

$\neg\mathbf{B}(\varphi \supset \psi) \vee \neg\mathbf{B}\varphi \vee \mathbf{B}\psi \in T$. Assume $\neg\mathbf{B}(\varphi \supset \psi) \notin T$ and $\neg\mathbf{B}\varphi \notin T$. Then from (St3) $\varphi \supset \psi \in T$ and $\varphi \in T$. From (St1) $\psi \in T$. From (St2) $\mathbf{B}\psi \in T$. So $\beta \in T$.

T. $\beta = \mathbf{B}\varphi \supset \varphi$

Assume $\varphi \in T$. Then $\beta \in T$. Assume $\varphi \notin T$. Then from (St3) $\neg\mathbf{B}\varphi \in T$ and $\beta \in T$.

4. $\beta = \mathbf{B}\varphi \supset \mathbf{B}\mathbf{B}\varphi$

Assume $\neg\mathbf{B}\varphi \in T$. Then $\beta \in T$. Assume $\neg\mathbf{B}\varphi \notin T$. Then from (St3) $\varphi \in T$ and from (St2) twice $\mathbf{B}\mathbf{B}\varphi \in T$. So $\beta \in T$.

5. $\beta = \neg\mathbf{B}\varphi \supset \mathbf{B}\neg\mathbf{B}\varphi$

Assume $\varphi \in T$. Then $\mathbf{B}\varphi \in T$ and $\beta \in T$. Assume $\varphi \notin T$. Then $\neg\mathbf{B}\varphi \in T$ and from (St2) $\mathbf{B}\neg\mathbf{B}\varphi \in T$. So $\beta \in T$.

S5 equivalence

Theorem 7 Let $T \subseteq \mathcal{L}_B$. Then T is stable iff T is an S5 theory.

Proof Follows from Theorem 6 and ...

Corollary 8 The Deduction Theorem does not hold for stable sets, as it does not hold for S5.

We cannot, however use S5 reasoning to define expansions, because of axiom **T**. $\{Bp\}$ has only one expansion $\text{St}(\emptyset)$, whereas if the logic S in Definition 3 was S5, it would have another expansion $\text{St}(\{p\})$.

Theorem 9 The strongest modal logic S that can be used when defining expansions is K45 (S5 without **T** or *weak S5*).

Examples

Example 1 $\{\mathbf{B}p \supset p\}$ has as we have seen two expansions $\text{St}(\emptyset)$ and $\text{St}(\{p\})$. Is the latter expansion reasonable? The agent believes p and accordingly $\mathbf{B}p$ but the reason it believed p in the first place was because it believed $\mathbf{B}p$.

Example 2 $\{\mathbf{B}p \supset q, \mathbf{B}q \supset p\}$ has two expansions $\text{St}(\emptyset)$ and $\text{St}(\{p, q\})$.

Example 3 $\{\neg\mathbf{B}p \supset q, \mathbf{B}p \supset p\}$ has two expansions $\text{St}(\{p\})$ and $\text{St}(\{q\})$.

Groundedness

- Weak groundedness

$$E = \{T \cup \{\mathbf{B}\varphi \mid \varphi \in E\} \cup \{\neg\mathbf{B}\varphi \mid \varphi \notin E\} \vdash \varphi\}$$

- Moderate groundedness

$$E = \{T \cup \{\neg\mathbf{B}\varphi \mid \varphi \notin E_0\} \vdash_{\text{K45}} \varphi\}$$

By eliminating $\{\mathbf{B}\varphi \mid \varphi \in E\}$ the circularity of Examples 1 and 2 disappears. Their only moderately grounded expansion is $\text{St}(\emptyset)$. For expansions to remain stable we need a stronger logic, hence the K45 consequence operator.

- Strong groundedness
 $\text{St}(\{p\})$ of Example 3 is not strongly grounded in T .

Algorithm

Definition 6 Let $T \subseteq \mathcal{L}_{\mathbf{B}}$. Then $\text{sub}(T)$ is the union of $\text{sub}(\varphi)$ for all $\varphi \in T$, where $\text{sub}(\varphi)$ is defined as

$$\text{sub}(\varphi) = \emptyset \text{ if } \varphi \in \mathcal{L}$$

$$\text{sub}(\neg\varphi) = \text{sub}(\varphi)$$

$$\text{sub}(\varphi \vee \psi) = \text{sub}(\varphi \wedge \psi)$$

$$= \text{sub}(\varphi \supset \psi)$$

$$= \text{sub}(\varphi) \cup \text{sub}(\psi)$$

$$\text{sub}(\mathbf{B}\varphi) = \{\varphi\}$$

- 1 foreach partition E^+ and E^- of $\text{sub}(T)$
- 2 $E_0 :=$ smallest set such that
 $\{\varphi \mid E_0 \vdash \varphi\} =$
 $\{\psi \in \mathcal{L} \mid T \cup \{\mathbf{B}\varphi \mid \varphi \in E^+\} \cup \{\neg\mathbf{B}\varphi \mid \varphi \in E^-\} \vdash \psi\}$
- 3 $E := \text{St}(E_0)$
- 4 if $E^+ \subseteq E$ and $E^- \cap E = \emptyset$ then
- 5 output E_0
- 6 endfor

References

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